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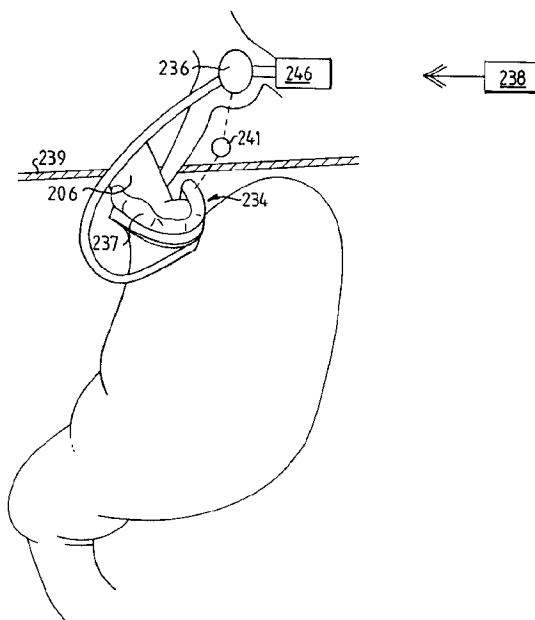
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(54) Title: HEARTBURN AND REFLUX DISEASE TREATMENT APPARATUS



(57) Abstract: A heartburn and reflux disease treatment apparatus comprises an adjustable restriction device (234) implanted in a patient engaging the stomach close to the cardia, or alternatively engaging the esophagus (206), to form a restricted food passageway in the stomach or esophagus. An adjustment device is implanted in the patient for adjusting the restriction device to restrict and enlarge the passageway, and a hydraulic operation means (236) is implanted in the patient for operating the adjustment device. By using a wireless remote control (238) the patient can control the hydraulic operation means, whereby the restriction device works like an artificial sphincter.



For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

HEARTBURN AND REFLUX DISEASE TREATMENT APPARATUS

The present invention relates to a heartburn and reflux
5 disease treatment apparatus, comprising an adjustable
restriction device adapted to engage the stomach close to the
cardia or esophagus of a patient to form a restricted food
passageway in the stomach or esophagus. The term "patient"
includes an animal or a human being.

10 Chronic heartburn and reflux disease is a widespread
medical problem. This is often due to hiatal hernia, i.e. a
portion of the stomach immediately below the gastric fundus
slides upwardly through the esophageal hiatus. In consequence,
stomach acids and foods are regurgitated into the esophagus.

15 In the late 1970s a prior art prosthesis called Angelchik,
according to U.S. Patent No. 3875928, was used to operatively
treat heartburn and reflux disease. However, the Angelchik
prosthesis had a major disadvantage in that it was not possible
to adjust the size of the restriction opening after the
20 operation. A further disadvantage was that the prosthesis did
not satisfactorily protect the esophagus and the surrounding
area against injuries due to poor shape of the prosthesis.
Moreover, the prosthesis was sutured to the stomach, in order
to be properly positioned. Such a suture arrangement, however,
25 is not reliable. Therefore, operations using the Angelchik
prosthesis are no longer practised.

An operation technique, semi-fundoduplicatio, is currently
in use for treating heartburn and reflux disease. A most common
operation is Nissen semi-fundoduplicatio, in which one takes
30 the fundus of the stomach and makes a three quarter of a turn
around the esophagus and suture between the stomach and
esophagus. Although this operation works fairly well it has

three main disadvantages. Firstly, most patients treated in accordance to "ad modum Nissen" lose their ability to belch. Secondly, many of these patients get dysphagia, i.e. have difficulties in swallowing after the operation. Thirdly, it is not possible to adjust the food passageway in the esophagus or stomach in any way after the operation. Characteristic for these patients is the variation of their problems over the course of a day. For example, many patients have difficulties during the night when they lie down because of stomach acid leaking up into the esophagus.

The object of the present invention is to provide a new heartburn and reflux disease treatment apparatus, which eliminates the above noted problems of the known technique for treating heartburn and reflux disease.

This object is obtained by a heartburn and reflux disease treatment apparatus of the kind stated initially characterised by an implantable adjustment device for post-operation adjustment of the restriction device to enlarge and restrict the food passageway, when the restriction device is implanted in the patient, and an implantable preferable hydraulic operation means for operating the adjustment device. As a result, the restriction device can be individually adjusted by the adjustment device one or a few times after the operation by operating the hydraulic operation means, so that a suitable restriction of the food passageway is obtained for every patient. Thus, the final restriction of the food passageway calibrated in this manner will reduce or completely eliminate the risk of stomach acids or foods regurgitating into the esophagus while the patient still is able to eat. Of course, in accordance with prior art the restriction device may suitably be provided with an inner cushion member, which is deformable

to permit normal enlargement of the food passageway during swallowing.

As an alternative to the procedure of providing one or a few adjustments of the restriction device immediately after the operation, the restriction device may advantageously be adjusted by the adjustment device to enlarge the food passageway when the patient eats and to restrict or close the food passageway between meals. In accordance with this alternative the restriction device performs like an artificial sphincter, which may be activated by the patient in connection with every food intake during the day, or possibly only in the morning to open up the food passageway and in the evening to close the food passageway.

Preferably, however the restriction device is activated by any automatic means, for instance including a sensor for sensing a physical parameter of the patient, such as the pressure in the food passageway. Suitably the restriction device is powered and controlled in a non-manual manner. The expression powered should be understood as energised with everything without manual force, preferably electric energy. The expression "non-manual manner" should be understood to mean that the restriction device is not adjusted by manually touching subcutaneously implanted components of the device, nor manipulated by touching the skin of the patient.

Preferably, the adjustment device adjusts the restriction device in a non-invasive manner.

The adjustment device may adjust the restriction device in a non-magnetic manner, i.e. magnetic forces may not be involved when adjusting the restriction device.

The adjustment device may also adjust the restriction device in a non-thermal manner, i.e. thermal energy may not be involved when adjusting the restriction device.

Generally the implanted restriction device comprises a holding device for preventing the region of the cardia to pass through the esophagal hiatus diaphragmatica. This could be achieved by an enlarged area of the esophagus and/or the restriction device that prevents the esophagus from passing the hole in the diaphragmatic muscle where the esophagus passes (a triangular opening surrounded by the crus muscles) or by fixing or holding the region of the cardia in place. The holding device may take the shape of a support member that provides a support for the restriction device upwardly against the diaphragm muscle. Alternatively, the holding device may comprise sutures, or the restriction device itself could be shaped to prevent the region of the cardia from sliding up. It would also be possible to provide means for narrowing the triangular opening. The prosthesis may comprise at least one holding device implantable in the patient for holding the esophagus or stomach in a position where the left and right crus muscles are located, to prevent the region of the cardia from moving cranial through the diaphragm muscle. The holding device is normally placed in engagement with the food restriction apparatus.

Preferably, the operation device comprises a powered operation device.

Alternatively, or in combination with a powered operation device, the servo means may be used, which enables manual manipulation without need for strong manipulation forces. The servo means may comprise hydraulic means, electric control means, magnetic means, or mechanical means, which may be activated by manual manipulating means. Using a servo system will save the use of force when adjusting the adjustment

device, which may be of importance in many applications.

The term "servo means" encompasses the normal definition of a servo mechanism, i.e. an automatic device that controls large amounts of power by means of very small amounts of power, but may alternatively or additionally encompass the definition of a mechanism that transfers a weak force acting on a moving element having a long stroke into a strong force acting on another moving element having a short stroke. The servo means may comprise a motor, preferably an electric motor, which may be reversible.

In accordance with a main embodiment of the invention, the apparatus comprises a reservoir, preferably containing a predetermined amount of hydraulic fluid, also implantable in the patient, wherein the operation device, suitably electrically powered, operates the adjustment device by using the hydraulic fluid of the reservoir.

The adjustment device may comprise an expandable cavity in the restriction device, wherein the stomach or esophagus is squeezed upon expansion of the cavity and released upon contraction of the cavity. In this embodiment the operation device is adapted to distribute hydraulic fluid from the reservoir to expand the cavity, and from the cavity to the reservoir to contract the cavity.

A fluid distribution tube may readily be connected between the reservoir and the cavity in a manner so that the tube does not interfere with other implanted components of the apparatus.

Preferably, the reservoir defines a chamber for the predetermined amount of fluid and the operation device changes the volume of the chamber. The operation device suitably comprises first and second wall portions of the reservoir and is adapted to provide relative displacement between the first

and second wall portions of the reservoir, in order to change the volume of the chamber.

The operation device may be adapted to provide said relative displacement in response to the pressure in the
5 reservoir. Suitably, the operation device comprises a pressure controlled hydraulic operation device. For safety, an alarm may be provided for generating an alarm signal in response to the lapse of a predetermined time period during which the pressure controlling the hydraulic operation device exceeds a
10 predetermined high value.

Suitably, the operation device is adapted to distribute fluid from the reservoir to the cavity of the restriction member in response to a predetermined first displacement of the first wall portion of the reservoir relative to the second
15 wall portion of the reservoir and may distribute fluid from the cavity to the reservoir in response to a predetermined second displacement of the first wall portion relative to the second wall portion. The first and second wall portions (66) of the reservoir may also be designed to be displaceable relative to
20 each other by manual manipulation thereof.

The first and second wall portions of the reservoir may be displaceable relative to each other by a magnetic, hydraulic, or electric power means, such as an electric motor.
25 In this embodiment no pump is used, only the volume of the reservoir is varied. This is of great advantage compared to the solution described below when the operation device comprises a pump used to pump fluid between the reservoir and the adjustment device because there is no need for a non-return
30 valve and it is still possible to have fluid going both to and from the reservoir. Thus, the significant risk of malfunction when using such a non-return valve implanted in the patient is

eliminated.

The operation device may comprise hydraulic means and a fluid conduit extending between the hydraulic means and the adjustment device. The hydraulic means and conduit are devoid
5 of any non-return valve. The reservoir may form part of the conduit and a fluid chamber with a variable volume. The operation device may distribute fluid from the fluid chamber to the adjustment device by reduction of the volume of the chamber and withdraw fluid from the adjustment device by expansion of
10 the volume of the chamber. The operation device preferably comprises a motor for moving a movable wall of the reservoir for changing the volume of the chamber. Any kind of motor could be used for the different operations as well as wireless remote solutions for controlling the operations.

15 The restriction device preferably is operable to perform a reversible function and accordingly there is a reversing device implantable in the patient for reversing the function performed by the restriction device. Such a reversing function preferably involves enlarging and restricting the food intake
20 passageway by the restriction device, suitably in a stepless manner. In this connection, the control device suitably controls the reversing device, which may include a switch, to reverse the function performed by the restriction device. The reversing device may comprise hydraulic means including a valve
25 for shifting the flow direction of a fluid in the hydraulic means. Alternatively, the reversing device may comprise a mechanical reversing device, such as a switch or a gearbox.

Where the reversing device comprises a switch the control device suitably controls the operation of the switch by
30 shifting polarity of released energy supplied to the switch. The switch may comprise an electric switch and the source of energy may supply electric energy for the operation of the

switch. The switch mentioned above may comprise an electronic switch or, where applicable, a mechanical switch.

Where the operation device comprises a motor, the reversing device is adapted to reverse the motor.

5 In accordance with another particular embodiment of the invention, the operation device comprises a pump for pumping fluid between the reservoir and the adjustment device. A mechanical solution is proposed in which it is possible to pump fluid from the reservoir to the adjustment device and vice
10 versa just by pushing an activation member in one direction. The pump preferably comprises a first activation member for activating the pump to pump fluid from the reservoir to the adjustment device, and a second activation member for activating the pump to pump fluid from the adjustment device to
15 the reservoir. At least one of the first and second activation members may be operable by manual manipulation, preferably to permit manual pushing, pulling or rotation thereof in one direction, or by a device powered magnetically, hydraulically, or electrically (e.g. by an electric motor), or be operable by
20 a combination of these methods. Suitably, at least one of the activation members may be adapted to operate when subjected to an external pressure exceeding a predetermined magnitude.

Another alternative is a pump pumping in only one direction and an adjustable valve to change the direction of
25 fluid to either increase or decrease the amount of fluid in the reservoir. This valve may be manipulated either manually, mechanically, magnetically, or hydraulically.

The main embodiment of the invention described above including the reservoir may alternatively be equipped with a
30 servo means comprising a reverse servo. The term "reverse servo" is to be understood as a mechanism that transfers a strong force acting on a moving element having a short stroke

into a weak force acting on another moving element having a long stroke; i.e. the reverse function of the above-defined alternative mechanism of a normal servo mechanism. A first closed hydraulic system that controls another closed hydraulic system in which hydraulic means of the adjustment device is incorporated may be used. Minor changes in the amount of fluid in a smaller reservoir of the first system could then be transferred by the reverse servo into major changes in the amount of fluid in a larger reservoir in the second system. In consequence, the change of volume in the larger reservoir of the second system affects the hydraulic means of the adjustment device. For example, a short stroke that decreases the volume of the smaller reservoir will cause the larger reservoir to supply the adjustment device with a large amount of hydraulic fluid, which in turn results in a long mechanical adjustment stroke on the restriction device.

The great advantage of using such a reverse servo is that the larger volume system could be placed inside the abdomen or retroperitoneum where there is more space and still it would be possible to use manual manipulation means of the smaller system subcutaneously. The smaller reservoir could be controlled directly or indirectly by a fluid supply means. The fluid supply means may include another small reservoir, which may be placed subcutaneously and may be activated by manual manipulation means. Both the normal servo means and the specific reverse servo may be used in connection with all of the various components and solutions described in the present specification.

Thus, the reverse servo may be adapted to provide relative displacement between the first and second wall portions of the reservoir, suitably in response to the pressure in the reservoir, in order to change the volume of the chamber

of the reservoir.

Generally, the servo means, including the reverse servo, comprises a pressure controlled servo means. The alarm mentioned above may alternatively be adapted to generate an
5 alarm signal in response to the lapse of a predetermined time period during which the pressure controlling the servo means exceeds a predetermined high value.

The reverse servo may comprise magnetic means, electric means or manual manipulation means or a combination thereof.
10 Preferably, however, the reverse servo comprises hydraulic means.

In accordance with a particular embodiment of the invention, the reverse servo further comprises a servo reservoir defining a chamber containing servo fluid, and the
15 operation device comprise first and second wall portions of the servo reservoir, which are displaceable relative to each other to change the volume of the chamber of the servo reservoir. The first and second wall portions of the servo reservoir may be displaceable relative to each other by magnetic means,
20 hydraulic means, or electric control means.

Where the reverse servo comprises hydraulic means it may further comprise a fluid supply reservoir connected to the servo reservoir in a closed system and containing a further predetermined amount of fluid. The fluid supply reservoir
25 defines a chamber for the further predetermined amount of fluid and the operation device is adapted to change the volume of the chamber and thereby control the amount of fluid in the servo reservoir. The fluid supply reservoir comprises first and second wall portions, which are displaceable relative to each
30 other to change the volume of the chamber of the fluid supply reservoir. Suitably, the fluid supply reservoir increases the amount of fluid in the servo reservoir in response to a

predetermined first displacement of the first wall portion of the fluid supply reservoir relative to the second wall portion of the fluid supply reservoir and decreases the amount of fluid in the servo reservoir in response to a predetermined second
5 displacement of the first wall portion of the fluid supply reservoir relative to the second wall portion of the fluid supply reservoir.

In accordance with an embodiment of the invention, the adjustment device comprises a hydraulic adjustment device, and
10 an implantable reservoir containing a predetermined amount of hydraulic fluid and a conduit providing fluid connection between the reservoir and the hydraulic adjustment device are provided. The operation device is adapted to operate the hydraulic adjustment device by distributing hydraulic fluid
15 through the conduit between the reservoir and the hydraulic adjustment device, wherein the conduit and hydraulic adjustment device are devoid of any non-return valve to permit free flow of hydraulic fluid in both directions in the conduit. Preferably, the reservoir forms a fluid chamber with a variable
20 volume, and the operation device is adapted to distribute fluid from the chamber to the adjustment device by reduction of the volume of the chamber and to withdraw fluid from the adjustment device by expansion of the volume of the chamber. The operation device may comprise a motor or a pump. Alternatively, the
25 operation device may comprise a movable wall of the reservoir for changing the volume of the chamber. For example, the operation device may be adapted to change the volume of the chamber by moving the movable wall in response to the pressure in the chamber.

30 In the above embodiments including a reservoir for hydraulic fluid an injection port may be provided for subcutaneous implantation in the patient to be in fluid

communication with the chamber of the reservoir. The injection port may be integrated in the reservoir. Such an injection port may be provided for enabling, normally single, once-and-for-all, calibration of the amount of fluid in the hydraulic system
5 used. The adjustment means may comprise an expandable cavity in the restriction means and the food passageway may be restricted upon expansion of the cavity and enlarged upon contraction of the cavity and the hydraulic operation means may comprise an injection port implantable subcutaneously in the patient for
10 transcutaneously adding fluid to and withdrawing fluid from the cavity. The adjustment means may comprise an hydraulic operation means and the hydraulic operation means may comprise an injection port implantable subcutaneously in the patient for transcutaneously adding fluid to and withdrawing fluid to the
15 hydraulic operation means. The injection port may be used only for calibration purposes and the adjustment device is further able to increase the food restriction opening when food should pass when the patient is eating and swallows food. If a servo is used the servo may be hydraulically operated and the injection
20 port used only for calibration purposes of the servo.

In the various embodiments hereinafter described the restriction device generally forms an at least substantially closed loop. However, the restriction device may take a variety
25 of different shapes, such as the shape of a square, rectangle or ellipse. The substantially closed loop could for example be totally flat, i.e. thin as seen in the radial direction. The shape of restriction device may also be changed during use, by rotation or movements of the restriction device in any
30 direction. A physical lumen, like the stomach or esophagus, often is easier to restrict by contracting two opposite sidewalls of the lumen against each other. Thus, the

restriction device may be designed to perform such a contracting effect of the opposite walls of the stomach or esophagus. Either mechanical or hydraulic solutions may be employed to operate the restriction device. Alternatively, the
5 restriction device may comprise an adjustable cuff, a clamp or a roller for bending or rotating the stomach or esophagus to close its passageway. Such a cuff, clamp or roller may also be utilized for squeezing the stomach or esophagus against human material inside the body of the patient, for example the sacral
10 bone of the patient, or against implanted structures of the apparatus. The bending or rotating members may take any shape and be either hydraulic or non-inflatable.

Preferably the restriction device comprises an elongated restriction member and forming means for forming the
15 restriction member into at least a substantially closed loop around the stomach or esophagus, wherein the loop defines a restriction opening, whereby the adjustment device adjusts the restriction member in the loop to change the size of the restriction opening.

20 Advantageously, the forming means may form the restriction member into a loop having a predetermined size. Alternatively, the forming means may form the restriction member into a loop having a size selected from several predetermined sizes.

25 The adjustment device may change the size of the restriction opening such that the outer circumferential confinement surface of the restriction member either is changed or is unchanged.

The elongated restriction member may be flexible, for
30 example take the shape of a belt or cord, and the adjustment device may pull a first portion of the flexible restriction member from a second portion of the flexible restriction member

opposite the first portion in the loop to squeeze the stomach or esophagus between the opposite lengths of the elongated flexible restriction member to restrict the food intake passageway. The restriction member may be non-inflatable, and
5 the adjustment device may mechanically adjust the restriction member in the loop.

The adjustment device may mechanically or hydraulically adjust the restriction device. In the embodiments described the adjustment device may either mechanically or hydraulically
10 adjust the restriction device, where applicable. It should be noted that the operation device might mechanically or hydraulically operate the adjustment device irrespectively of whether the adjustment device is adapted to adjust the restriction device mechanically or hydraulically.

15 In accordance with an embodiment of the invention, the restriction device comprises at least two elements on opposite or different sides of the stomach or esophagus, and the adjustment device decreases the distance between the elements to squeeze the stomach or esophagus between the elements,
20 thereby restricting the food intake passageway. It is also possible to use only one element and squeeze the stomach or esophagus against human bone or tissue. The elements above may as well as all the restriction members mentioned in this application be everything from rigid to soft.

25 In accordance with an alternative, the restriction device bends or rotates a portion of the stomach or esophagus to restrict the food intake passageway in the same. For example, the restriction device may comprise at least two bending members, such as cylindrical or hour-glass shaped rollers,
30 positioned on opposite or different sides of the stomach or esophagus and displaced relative to each other along the stomach or esophagus, and the adjustment device may move the

bending members against the stomach or esophagus to bend the latter to restrict the food intake passageway. The restriction device may also rotate a portion of the stomach or esophagus. The bending or rotating members may take any shape and be
5 either hydraulic or non-inflatable.

Alternatively, the two bending members one placed more distal than the other may be rotated in opposite directions relative to each other. With interconnecting means for example flexible bands between the bending members a restriction will
10 occur between the bending members when they are rotated.

Preferably the adjustment device is operable to adjust the restriction device to steplessly change the restriction of the food intake passageway in the stomach or esophagus.

All embodiments according to the invention may be
15 controlled by a wireless remote control.

In accordance with an advantageous embodiment of the invention, there is provided a wireless remote control for non-invasively controlling the operation device. The remote control may conveniently comprise an external hand-held remote control
20 unit, which is manually operable by the patient to control the restriction device to squeeze and release the stomach or esophagus. With the wireless remote control the apparatus of the invention is conveniently controlled by the patient when he so desires, which is of great advantage compared to the prior
25 art procedures. With the remote control the apparatus of the invention is conveniently controlled to adjust the implanted restriction device to release the food intake passageway when the patient wants to relieve himself or herself.

The remote control may advantageously be capable of
30 obtaining information related to important parameters, such as the condition of the food intake passageway or the pressure against the restriction device, and of commanding the operation

device to operate the adjustment device to adjust the restriction device in response to obtained information. With the remote control the apparatus of the invention is conveniently controlled to adjust the implanted restriction
5 device to open and close the food intake passageway. The adjustment device may control the restriction device to steplessly change the restriction of the passageway.

Preferably, the wireless remote control comprises a separate signal transmitter or receiver and a signal receiver
10 or transmitter implanted in the patient. For example, the signal transmitter and signal receiver may transmit and receive a signal in the form of digital pulses, which may comprise a magnetic or electric field. Alternatively, which is preferred, the signal transmitter and signal receiver may transmit and
15 receive an electromagnetic wave signal, a sound wave signal or a carrier wave signal for a remote control signal. The receiver may comprise an implanted control unit for controlling the adjustment device in response to a control signal from the signal transmitter. Any known or conventional signal
20 transmitting or signal receiving means that is suitable for use with a human or mammal patient may be provided as the signal transmitter or signal receiver.

The apparatus of the invention may further comprise an implanted energiser unit for providing energy to energy
25 consuming implanted components of the apparatus, such as electronic circuits and/or a motor for operating the adjustment device. Where a motor is provided the control unit is adapted to power the motor with energy provided by the energiser unit in response to a control signal received from the signal
30 transmitter. The motor may be any type of motor, such as a pneumatic, hydraulic or electric motor and the energiser unit may power the motor with pressurized gas or liquid, or electric

energy, depending on the type of motor. Where the motor is an electric motor, it may power pneumatic or hydraulic equipment.

The remote control advantageously comprises wireless energy transfer device for transferring energy from outside the patient's body to energy consuming implantable components of the apparatus. The energy transfer device may comprise said energiser unit is adapted to transform energy from the control signal, as it is transmitted to the signal receiver, into electric energy. Where the operation device comprises a motor the wireless energy transfer device is adapted to directly power the motor with transferred energy.

The energy transferred by the wireless energy transfer device preferably comprises a signal, suitably a wave signal. The energy transferred by the wireless energy transfer device may comprise an electric field or a magnetic field or a combination thereof. The signal may be analog or digital or a combination thereof. The energy transfer device may transfer the energy from the signal into a direct, pulsating direct or alternating current or a combination thereof.

Any of the above mentioned signals may comprise analog or digital pulses. The analog or digital signal may comprise a magnetic field or an electric field or a combination thereof. Where the signal is a wave signal it may comprise an electromagnetic wave signal, a sound wave signal or a carrier wave signal for a remote control signal or a combination thereof. Where a carrier signal is used it may be frequency, amplitude or frequency and amplitude modulated.

The apparatus of the invention may comprise an implantable source of energy for powering the operation device and/or for energizing other energy consuming components of the apparatus, wherein the energy from the source of energy is releasable from outside the patient's body. Furthermore, the apparatus may

comprise an energy transmission device for wireless transmission of energy of a first form and an energy transforming device implantable in the patient for transforming the energy of the first form into energy of a second form, to
5 be supplied to the source of energy and/or other implantable energy consuming parts of the apparatus. The energy transforming device may transform the wireless energy directly or indirectly into energy different than the wireless energy for operation of the restriction device. Typically, the energy
10 of the second form is different than the energy of the first form. The function of the energy transmission device may be different from that of the energy transforming device.

An implantable motor or pump for operating the adjustment device may be provided, wherein the energy transmission device
15 may be adapted to transmit wireless energy in the form of a magnetic field or electromagnetic waves or field for direct power of the motor or pump, as the wireless energy is being transmitted. Suitably, the energy transmission device transmits energy by at least one signal separate from the above mentioned
20 control signal.

An implantable stabiliser for stabilising the energy of the first or second form may be provided. Where the energy of the second form comprises electric current, the stabiliser suitably comprises at least one capacitor.

25 Generally, the source of energy comprises a battery, accumulator, capacitor or a combination thereof.

In accordance with an embodiment of the invention, the apparatus comprises a control device adapted to produce wireless energy for directly powering the operation device
30 and/or for energizing other energy consuming components of the apparatus.

It should be understood that the energy consuming parts

of the apparatus for example a motor or pump may be or may not be energised with the unchanged wirelessly transmitted energy as this being transmitted as well as being or not being energised with energy different than the transmitted energy for example transformed into electrical energy but still directly used for energising the energy consuming parts of the apparatus as the transmitted energy is transmitted. Alternatively the energy consuming parts of the apparatus may be energised from a implanted source of energy or storage device, which still may be loaded with wireless energy. In all these aspects it is preferable to be able to wirelessly control the release of energy and get an feedback of the result of the performed function of the device. Direct use of transmitted energy may be unreliable without a feedback what has happened, has the energy reached it's goal?

Generally, the wireless energy may comprise a wave signal including a sound wave signal, an ultrasound wave signal, an electromagnetic wave signal, an infrared light signal, a visible light signal, an ultra violet light signal, a laser light signal, a micro wave signal, a radio wave signal, an x-ray radiation signal or a gamma radiation signal.

Any of the above mentioned signals may comprise a wave signal including a sound wave signal, an ultrasound wave signal, an electromagnetic wave signal, an infrared light signal, a visible light signal, an ultra violet light signal, a laser light signal, a micro wave signal, a radio wave signal, an x-ray radiation signal or a gamma radiation signal.

The control device may be adapted to produce wireless energy in the form of a train of energy pulses and the energy transfer device may be adapted to intermittently transfer the train of energy pulses for direct use in connection with the energising of the energy consuming components of the apparatus.

Alternatively, the control device may be adapted to control the energy transforming device to produce the energy of the second form in said train of energy pulses for direct use in connection with the operation of the adjustment device. The
5 transferred energy preferably comprises electric energy. An implantable capacitor may be provided for producing the train of energy pulses.

Where a capacitor is used in any of the above described embodiments it may have a relatively low capacity, i.e. less
10 than 0,1 μF , in order to be small and suited for implantation.

Where the operation device comprises an implantable motor or pump for operating the adjustment device, the energy transfer device may be adapted to directly power the motor or pump with transferred energy, at the same time as the energy is
15 transferred. Where a pump is used it should not be a plunger type of pump, because a plunger pump is noisy, but may comprise a peristaltic or membrane pump.

As mentioned above the apparatus comprises a wireless remote control for non-invasively controlling the operation
20 device, which preferably is electrically powered. Alternatively, the operation device is powered by magnetic energy, non-magnetic energy, electromagnetic energy, non-electromagnetic energy, kinetic energy, non-kinetic energy, sonic energy, non-sonic energy, thermal energy or non-thermal
25 energy. However, the operation device may be unpowerable by permanent static magnetic energy. Any other kind of energy, such as electric, electromagnetic energy or a moving permanent magnetic energy, may be conceivable for operating the adjustment device. As a result, the implanted restriction
30 device would not be accidentally adjusted if the patient comes close to any permanent magnet. Suitably, the operation device is adapted to non-invasively operate the adjustment device.

Where the operation device comprises a hydraulic operation device it may use hydraulic fluid, the viscosity of which changes when the hydraulic fluid is exposed to energy, preferably electric energy, different than thermal energy.

5 However, use of hydraulic fluid of the kind having a viscosity that substantially increases when exposed to heat or a magnetic field, i.e. the hydraulic fluid would not become more viscous when exposed to heat or influenced by magnetic forces, should be avoided, because external heat sources or heat from the body

10 when the patient has fever and external magnetic sources might affect the implanted components of the apparatus.

The adjustment device is may be operable to adjust the restriction device to steplessly change the restriction of the food intake passageway. Furthermore, the adjustment device may

15 be adapted to mechanically adjust the restriction device. Alternatively, it may be adapted to hydraulically adjust the restriction device by using hydraulic means, which is devoid of hydraulic fluid of the kind having a viscosity that substantially increases when exposed to heat or a magnetic

20 field.

In accordance with an embodiment of the invention, the apparatus comprises a control device for controlling the restriction device. The control device may comprise an internal programmable control unit implantable in the patient and,

25 possibly an external control unit outside the patient's body for programming the programmable internal control unit. Alternatively, the external control unit may be programmable and wirelessly control the restriction device. The control device may be adapted to produce wireless energy for directly

30 powering the operation device and/or for energizing other energy consuming components of the apparatus.

At least one sensor for sensing at least one physical

parameter of the patient may conveniently be implanted in the patient. The sensor may preferably sense as the physical parameter the horizontal position of the patient or may comprise a pressure sensor for sensing the pressure against the
5 restriction device or the stomach or esophagus or other important parameters. The pressure sensor may be any suitable known or conventional pressure sensor such as shown in U.S. patents 5540731, 4846181, 4738267, 4571749, 4407296 or 3939823; or an NPC-102 Medical Angioplasty Sensor.

10 Either the internal control unit or the external control unit of the control device may suitably control the restriction device to enlarge or close the food intake passageway. For safety the restriction device may enlarge or open the food intake passageway in response to the sensor sensing for example
15 an abnormally high pressure value. The internal control unit may directly control the restriction device in response to signals from the sensor.

Wherever magnetic means is utilized according to the invention it may comprise a permanent magnet and a magnetic
20 material reed switch, or other suitable known or conventional magnetic means.

Where a source of energy is used the control device suitably is operable from outside the patient's body for controlling the source of energy to release energy for use in
25 connection with the operation of the adjustment device, when the adjustment device is implanted. The source of energy may be provided external to the patient's body, and the control device may be adapted to control the external source of energy to release wireless energy for use in connection with the
30 operation of the adjustment device.

The control device may control the source of energy to release magnetic energy, non-magnetic energy, electromagnetic

energy, non-electromagnetic energy, kinetic energy, non-kinetic energy, sonic energy, non-sonic energy, thermal energy or non-thermal energy, preferably in a non-invasive manner and for a determined time period and/or in a determined number of energy
5 pulses.

Where the implantable components of the apparatus comprise electrical electrical components they may include at least one or a single voltage level guard. In this case, the electrical components suitably are devoid of any current
10 detector and/or charge level detector. Furthermore, the electrical components may comprise a capacitor or accumulator, wherein the charge and discharge of the capacitor or accumulator is controlled by use of the voltage level guard. As a result, there is no need for any implanted current detector
15 and/or charge level detector for the control of the capacitor, which makes the apparatus simple and reliable.

In accordance with an advantageous embodiment of the invention, the apparatus comprises an implantable switch for directly or indirectly switching the operation of the
20 restriction device. The switch may be operated by the energy supplied by the energy transmission device mentioned above to switch from an off mode, in which the implantable source of energy mentioned above is not in use, to an on mode, in which the source of energy supplies energy for the operation of the
25 restriction device.

In accordance with an alternative embodiment, the above mentioned a remote control may be employed for controlling the implantable source of energy, wherein the switch is operated by
the energy supplied by the energy transmission device to switch
30 from an off mode, in which the remote control is prevented from controlling the source of energy and the source of energy is not in use, to a standby mode, in which the remote control is

permitted to control the source of energy to supply energy for the operation of the restriction device.

In accordance with another alternative embodiment, the switch is operated by the energy supplied by the implantable energy transforming device mentioned above to switch from an off mode, in which the source of energy is not in use, to an on mode, in which the source of energy supplies energy for the operation of the restriction device.

In accordance with yet another alternative embodiment, the switch is operated by the energy supplied by the energy transforming device to switch from an off mode, in which the remote control is prevented from controlling the source of energy and the source of energy is not in use, to a standby mode, in which the remote control is permitted to control the source of energy to supply energy for the operation of the restriction device.

Suitably, the restriction device is embedded in a soft or gel-like material, such as a silicone material having hardness less than 20 Shore.

The energy transforming device may be designed to be implanted subcutaneously or in the abdomen, thorax or cephalic region of the patient.

The adjustment device may be adapted to adjust the restriction device such that the restriction device provides a predetermined contraction of the food intake passageway that is satisfactory for the patient.

Both the adjustment means and the operation device may be powered and operable in a non-invasive manner.

The restriction means is operable to open and close the food passageway and normally operable to steplessly adjust the restriction of the food passageway. The restriction means is operable to open the food passageway when food should pass and

the patient is swallowing and otherwise close the food passage way to prevent reflux of acid from the stomach.

The adjustment device is normally adapted to adjust the restriction device in a non-flux magnetic, non-thermal or non-
5 viscosity changing manner because these gives an unreliable function of the device. With non-viscosity changing manner should be understood when the adjustment device primary is adjusted with the change of the viscosity of hydraulic fluid.

10 All the above described various components, such as the motor, pump and capacitor, may be combined in the different embodiments where applicable. Also the various functions described in connection with the above embodiments of the invention may be used in different applications, where
15 applicable. Specifically, the various remote control functions described and all the various methods for supplying energy may be used in any conceivable combination that is apparent to those skilled in the art.

The present invention also provides a method of treating a
20 human or animal having heartburn and reflux disease, comprising (a) Surgically implanting in the abdomen of the human or animal an adjustable restriction device which restricts a food passageway in the stomach close to the cardia or in the esophagus. And (b) from time to time, adjusting the restriction
25 device so as (i) to enlarge the restricted passageway to allow food to readily pass therethrough into the human's or animal's stomach, or to allow the human or animal to regurgitate, or (ii) to restrict the restricted passageway sufficiently so as to substantially prevent regurgitation of stomach acids and
30 foods into the esophagus. The restriction device may comprise a cavity which is expandable and contractable by the supply of hydraulic fluid thereto, wherein (a) is practised in part by

implanting in the human or animal a reservoir containing a predetermined amount of hydraulic fluid and connecting the reservoir to the cavity and a hydraulic operation means for distributing fluid from the reservoir to the cavity, and
5 wherein (b) is practised by controlling the hydraulic operation means from a point outside the human's or animal's body without physically penetrating the human's or animal's body.

In accordance with one alternative, the restriction device may comprises a cavity which is expandable and contractable by
10 the supply of hydraulic fluid thereto, wherein (a) is practised in part by subcutaneously implanting in the human or animal an injection port connected to the cavity of the restriction device, and wherein (b) is practiced by injecting fluid through the injection port to expand the cavity to restrict the
15 passageway and by withdrawing fluid from the injection port to contract the cavity to enlarge the passageway.

In accordance with another alternative, the restriction device is acted upon by an adjustment device which mechanically adjusts the restriction of the food passageway; wherein (a) is
20 practised in part by implanting in the human or animal the adjustment device, implanting a reservoir containing a predetermined amount of hydraulic fluid and connecting the reservoir to the cavity, and implanting a hydraulic operation means for distributing fluid from the reservoir to the cavity;
25 and wherein (b) is practised by controlling the hydraulic operation means from a point outside the human or animal's body without physically penetrating the human's or animal's body to control the adjustment device so that the restriction of the food passageway is changed.

30 In accordance with yet another alternative, (a) is practised by: (i) inflating the human's or animal's abdomen with gas by penetration of the human's or animal's skin, (ii)

introducing at least two laparoscopic trocars into the abdomen to introduce the restriction device and one or more medical instruments, and then (iii) applying the restriction device on the esophagus or stomach.

- 5 The invention also provides a further method of treating a human or animal having heartburn and reflux disease, comprising (a) Surgically implanting in the abdomen of the human or animal an adjustable restriction device which restricts a food passageway in the stomach close to the cardia or in the
- 10 esophagus. And (b) from time to time, adjusting the restriction device so as (i) to enlarge the restricted passageway to allow food to readily pass therethrough into the human's or animal's stomach, or to allow the human or animal to regurgitate, or (ii) to restrict the restricted passageway sufficiently so as
- 15 to substantially prevent regurgitation of stomach acids and foods into the esophagus.

- The invention also provides yet a further method of treating a human or animal having heartburn and reflux disease, comprising the steps of: (a) Laparoscopically placing a
- 20 restriction device of the device through the abdomen or thorax of the human or animal. (b) Placing at least two laparoscopic trocars within the human's or animal's body. (c) Using a dissecting tool inserted through the laparoscopic trocar, dissecting the region of the esophagus or stomach. (d)
- 25 Introducing the restriction device through the trocars. (e) Placing the restriction device in engagement with the esophagus or the upper part of the stomach to create a restricted stoma. And (f) from time to time, adjusting the restriction device so as (i) to enlarge the restricted stoma to allow food to readily
- 30 pass therethrough into the human's or animal's stomach, or to allow the human or animal to regurgitate, or (ii) to restrict the restricted stoma sufficiently so as to substantially

prevent regurgitation of stomach acids and foods into the esophagus.

The invention is described in more detail in the following
5 with reference to the accompanying drawings, in which

FIGURE 1A-D are block diagrams of four different principal embodiments of the heartburn and reflux disease treatment apparatus according to the invention.

FIGURE. 2A-D are cross-sectional views of a pump mechanism
10 according to FIGURE 1C, which is designed to pump fluid in opposite directions by mechanically pushing a wall portion in only one direction.

FIGURE 3 is a cross-sectional view of a reservoir having a variable volume controlled by a remote control motor, in
15 accordance with a particular embodiment of the principal embodiment shown in FIGURE 1B or 2B.

FIGURE 4 is a cross-sectional view of a reservoir having a variable volume adjustable by manual manipulation, in accordance with a particular embodiment of the principal
20 embodiment shown in FIGURE 1B or 1D.

FIGURE 5A is a perspective view of a hydraulic, pneumatic or mechanical servo system in accordance with a particular embodiment of the principal embodiment shown in FIGURE 1D.

FIGURE 5B is a cross-sectional view taken along line VB-VB
25 of Fig 5A.

FIGURE 6 is a block diagram illustrating remote control components of the device of the invention;

FIGURE 7 is a schematic view of exemplary circuitry used for the block diagram in FIGURE 4;

30 FIGURE 8 is a schematic view of a band with a cavity defining a restriction opening for use in accordance with the invention.

FIGURES 9A and 9B are schematic views of a first mechanical restriction device for use in accordance with the invention;

FIGURES 10A and 10B are schematic views of a second
5 mechanical restriction device for use in accordance with the invention;

FIGURE 11 is a schematic view of a third mechanical restriction device for use in accordance with the invention;

FIGURE 12A is a schematic front view of a fourth
10 mechanical restriction device for use in accordance with the invention;

FIGURES 12B and 12C are sectional views along the line A-A of FIGURE 12A;

FIGURES 13A through 17B are five modifications of the
15 embodiment of FIGURES 12A-12C;

FIGURE 18 illustrates an embodiment of the device in accordance with the invention implanted in a patient and non-invasively controlled by a wireless remote control; and

FIGURE 19 illustrates another embodiment of the device in
20 accordance with the invention implanted in a patient and invasively adjustable.

Referring to the drawing figures, like reference numerals designate identical or corresponding elements throughout the several figures.

25

FIGURE 1A-D is a block diagram of four different embodiments of the heartburn and reflux disease device according to the invention. FIGURE 1A shows an elongated restriction member in the form of a band 2 forming a loop which
30 defines a restriction opening. The band 2 provides a restricted cross-sectional area of the food passageway in the stomach or esophagus when applied around the esophagus or stomach. FIGURE

1A further shows a separate reservoir 4, a one-way pump 6 and an alternate valve 8. FIGURE 1B shows the band 2 and a fluid supply reservoir 10. FIGURE 1C shows the band 2, a two-way pump 12 and the reservoir 4. FIGURE 1D shows a servo system with a first closed system controlling a second system. The servo system comprises the fluid supply reservoir 10 and a servo reservoir 14. The servo reservoir 14 controls a larger adjustable reservoir 16 which in connection with the band 2 applied around the stomach immediately close to the cardia or around the esophagus varies the volume of a cavity in the band, which in turn varies the restricted cross-sectional area of the food passageway. Such a band 2 forming the restriction opening 3 is illustrated schematically in FIGURE 8. The band 2 comprises an adjustment device having an expandable/contractable cavity 5 which is expanded or contracted by supplying hydraulic fluid (e.g. from reservoir 4, 6, 10, or 16), and the band 2 may be sutured in place, illustrated schematically at 7 in FIGURE 8.

FIGURES 2A-D are cross-sectional views of a pump mechanism adapted to pump fluid in both directions only by mechanically pushing a separate sealing wall portion 18 in one direction. FIGURE 2A shows a piston 20 pushed forwards against a spring 22 towards the wall portion 18 and located in a pump housing 24 conducting fluid from a right upper fluid passage 26 of the housing 24 to a left fluid passage 28 of the housing 24. A main valve 30 is open and a nonreturn valve 32 is closed. FIGURE 2B illustrates the first pump movement in which the piston 20 has moved forwards and reaches the wall portion 18. FIGURE 2C illustrates how the piston 20 moves backwards by the action of the spring 22. The main valve 30 is now closed and the nonreturn valve 32 is open for fluid from the right upper passage 26. FIGURE 1D illustrates how the piston 20 is moved

further downwards from its position according to FIGURE 2B while pushing the wall portion 18 downwardly against a second spring 34 that is stronger than spring 22, whereby fluid escapes from a right lower fluid passage 36. When moving the
5 piston 20 backwardly from the position according to FIGURE 2D, fluid enters the left fluid passage 28 and a valve 38 in the lower right fluid passage 36 closes.

FIGURE 3 is a cross-sectional view of a reservoir 40 defining a chamber 42, the size of which is variable and is
10 controlled by a remote controlled electric motor 44, in accordance with FIGURE 1B or 1D. The reservoir 40 and the motor 44 are placed in a housing 46. The chamber 42 is varied by moving a large wall 48. The wall 48 is secured to a nut 50, which is threaded on a rotatable spindle 52. The spindle 52 is
15 rotated by the motor 44 via an angular gearing, which comprises two conical gear wheels 54 and 56 in mesh with each other. The motor 44 is powered by a battery 58 placed in the housing 46. An signal receiver 60 for controlling the motor 44 is also placed in the housing 46. Alternatively, the battery 58 and the
20 signal receiver 60 may be mounted in a separate place. The motor 44 may also be powered by energy transferred from transmitted signals.

FIGURE 4 is a cross-sectional view of a reservoir 62 defining a chamber 64, the size of which is variable and is
25 controlled by manual manipulation. A gable wall portion 66 of an open ended inner cylindrical housing 68 is adapted to be pushed downwards to fit in a desired locking groove 70 of a plurality of locking grooves 70 on the mantle wall of the cylindrical housing 68, to reduce the size of the chamber 64.
30 The inner cylindrical housing 68 is suspended by springs 72 and is telescopically applied on an outer cylindrical housing 74. When pushing the inner cylindrical housing 68 it moves

downwards relative to the outer cylindrical housing 74 causing the gable wall portion 66 to release from the locking groove 70 and move upwards relative to the inner cylindrical housing 68. When the inner housing 68 is moved upwardly by the action of
5 the springs 72 the size of the chamber 64 is increased.

FIGURES 5A and 5B show a servo means comprising a main ring-shaped fluid reservoir 76 defining a chamber 78, the size of which is variable. Centrally positioned in the main ring-shaped reservoir 76 there is a servo fluid reservoir 80
10 defining a chamber 82, the size of which is variable. The chamber 82 of the servo reservoir 80 is substantially smaller than the chamber 78 of the main reservoir 76. The two reservoirs 76 and 80 are situated between two opposite separate walls 84 and 86, and are secured thereto. When changing the
15 amount of fluid in the servo reservoir 80, the two opposite walls 84, 86 are moved towards or away from each other, whereby the size of the chamber 78 of the main reservoir 76 is changed.

FIGURE 6 shows the basic parts of a remote control system of the device of the invention including the electric motor 44
20 of the embodiment shown in FIGURE 3. In this case, the remote control system is based on the transmission of electromagnetic wave signals, often of high frequencies in the order of 100 kHz - 1 GHz, through the skin 130 of the patient. In FIGURE 6, all parts placed to the left of the skin 130 are located outside
25 the human's or animal's body and all parts placed to the right of the skin 130 are implanted. Any suitable remote control system may be used.

An external signal transmitting antenna 132 is to be positioned close to a signal receiving antenna 134 implanted
30 close to the skin 130. As an alternative, the receiving antenna 134 may be placed for example inside the abdomen of the patient. The receiving antenna 134 comprises a coil,

approximately 1-100 mm, preferably 25 mm in diameter, wound with a very thin wire and tuned with a capacitor to a specific high frequency. A small coil is chosen if it is to be implanted under the skin of the patient and a large coil is chosen if it is to be implanted in the abdomen of the patient. The transmitting antenna 132 comprises a coil having about the same size as the coil of the receiving antenna 134 but wound with a thick wire that can handle the larger currents that is necessary. The coil of the transmitting antenna 132 is tuned to the same specific high frequency as the coil of the receiving antenna 134.

An external control unit 136 comprises a microprocessor, a high frequency electromagnetic wave signal generator and a power amplifier. The microprocessor of the control unit 136 is adapted to switch the generator on/off and to modulate signals generated by the generator to send digital information via the power amplifier and the antennas 132,134 to an implanted control unit 138. To avoid that accidental random high frequency fields trigger control commands, digital signal codes are used. A conventional keypad placed on the external control unit 136 is connected to the microprocessor thereof. The keypad is used to order the microprocessor to send digital signals to either increase or decrease the size of the restriction opening defined by the loop of the restriction member 2. The microprocessor starts a command by applying a high frequency signal on the antenna 132. After a short time, when the signal has energized the implanted parts of the control system, commands are sent to increase or decrease the size of the restriction opening of the restriction member 2 in predefined steps. The commands are sent as digital packets in the form illustrated below.

Start pattern, 8 bits	Command, 8 bits	Count, 8 bits	Checksum, 8 bits
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The commands are sent continuously during a rather long time period (e.g. about 30 seconds or more). When a new increase or decrease step is desired the Count byte is
5 increased by one to allow the implanted control unit 138 to decode and understand that another step is demanded by the external control unit 136. If any part of the digital packet is erroneous, its content is simply ignored.

Through a line 140, an implanted energizer unit 126 draws
10 energy from the high frequency electromagnetic wave signals received by the receiving antenna 134. The energizer unit 126 stores the energy in a power supply, such as a large capacitor, powers the control unit 138 and powers the electric motor 44 via a line 142.

15 The control unit 138 comprises a demodulator and a microprocessor. The demodulator demodulates digital signals sent from the external control unit 136. The microprocessor of the control unit 138 receives the digital packet, decodes it and, provided that the power supply of the energizer unit 126
20 has sufficient energy stored, sends a signal via a signal line 144 to the motor 44 to either increase or decrease the size of the restriction opening of the restriction member 2 depending on the received command code.

Alternatively, the energy stored in the power supply of
25 the energizer unit may only be used for powering a switch, and the energy for powering the motor 44 may be obtained from another implanted power source of relatively high capacity, for example a battery. In this case the switch is adapted to connect the battery to the control unit 138 in an on mode when

the switch is powered by the power supply and to keep the battery disconnected from the control unit in a standby mode when the switch is unpowered.

With reference to FIGURE 7, the remote control system
5 schematically described above will now be described in accordance with a more detailed embodiment. The external control unit 136 comprises a microprocessor 146, a signal generator 148 and a power amplifier 150 connected thereto. The microprocessor 146 is adapted to switch the signal generator
10 148 on/off and to modulate signals generated by the signal generator 148 with digital commands that are sent to implanted components of the device. The power amplifier 150 amplifies the signals and sends them to the external signal transmitting antenna 132. The antenna 132 is connected in parallel with a
15 capacitor 152 to form a resonant circuit tuned to the frequency generated by the signal generator 148.

The implanted signal receiving antenna coil 134 forms together with a capacitor 154 a resonant circuit that is tuned to the same frequency as the transmitting antenna 132. The
20 signal receiving antenna coil 134 induces a current from the received high frequency electromagnetic waves and a rectifying diode 160 rectifies the induced current, which charges a storage capacitor 158. A coil 156 connected between the antenna coil 134 and the diode 160 prevents the capacitor 158 and the
25 diode 160 from loading the circuit of the signal receiving antenna 134 at higher frequencies. Thus, the coil 156 makes it possible to charge the capacitor 158 and to transmit digital information using amplitude modulation.

A capacitor 162 and a resistor 164 connected in parallel
30 and a diode 166 forms a detector used to detect amplitude modulated digital information. A filter circuit is formed by a resistor 168 connected in series with a resistor 170 connected

in series with a capacitor 172 connected in series with the resistor 168 via ground, and a capacitor 174, one terminal of which is connected between the resistors 168,170 and the other terminal of which is connected between the diode 166 and the circuit formed by the capacitor 162 and resistor 164. The filter circuit is used to filter out undesired low and high frequencies. The detected and filtered signals are fed to an implanted microprocessor 176 that decodes the digital information and controls the motor 44 via an H-bridge 178 comprising transistors 180,182,184 and 186. The motor 44 can be driven in two opposite directions by the H-bridge 178.

The microprocessor 176 also monitors the amount of stored energy in the storage capacitor 158. Before sending signals to activate the motor 44, the microprocessor 176 checks whether the energy stored in the storage capacitor 158 is enough. If the stored energy is not enough to perform the requested operation, the microprocessor 176 waits for the received signals to charge the storage capacitor 158 before activating the motor 44.

FIGURES 9A and 9B show an embodiment of the device of the invention comprising a restriction device 202 having an elongated flexible restriction member 204, such as a belt, a cord or the like. The flexible member 204 extends in a loop around the esophagus 206 (or stomach). (Alternatively, the flexible member 204 may comprise two separate parts on opposite sides of the esophagus.) One portion 204A of member 204 is attached to a frame 208 and another portion 204B of member 204 opposite portion 204A in the loop of the flexible member 204 is connected to an adjustment device 210, which is fixed to the frame 208. The adjustment device 210 pulls the flexible member 204 in the direction from portion 204A to squeeze the esophagus between two opposite lengths of the flexible member 204 to

thereby decrease the cross-sectional area in the esophagus (or stomach), see FIGURE 96A, and releases the esophagus from the flexible member 204 to thereby increase the cross-sectional area in the esophagus 206, see FIGURE 9B.

5 FIGURES 10A and 10B show an embodiment of the device of the invention comprising a restriction device 212 having two plate or bar elements 214 on opposite sides of the esophagus 206 (or stomach). An adjustment device 216 moves the elements 212 in parallel towards each other to squeeze the esophagus 206
10 between the elements 212 to thereby decrease the cross-sectional area in the esophagus, see FIGURE 10A, and moves the elements 212 away from each other to increase the cross-sectional area in the esophagus 206, see FIGURE 10B.

FIGURE 11 shows an embodiment of the device of the
15 invention comprising a restriction device 218 having two articulated clamping elements 220 positioned on opposite sides of the esophagus 206 (or stomach). An adjustment device 222 moves the clamping elements 220 toward each other to clamp the esophagus 206 between the clamping elements 220 to thereby
20 decrease the cross-sectional area in the esophagus 206, and moves the clamping elements 420 away from each other to release the esophagus 206 from the clamping elements 220 to thereby increase the cross-sectional area in the esophagus 206.

FIGURES 12A, 12B and 12C show an embodiment of the device
25 of the invention comprising a restriction device 224 having three bending members in the form of cylindrical rollers 226, 228 and 230 displaced relative one another in a row along the esophagus 206 (or stomach) and positioned alternately on opposite sides of the esophagus 206. (Alternatively, each
30 roller 226, 228 and 230 may take the shape of an hour-glass.) An adjustment device 232 moves the two outer rollers 226, 230 laterally against the esophagus 206 in one direction and the

intermediate roller 228 against the esophagus 206 in the opposite direction to bend the esophagus to thereby decrease the cross-sectional area in the esophagus 206, see FIGURE 12B. To increase the cross-sectional area in the esophagus 206 the
5 adjustment device 232 moves the rollers 226-230 away from the esophagus 206 to release the latter from the rollers 226-230, see FIGURE 12C.

FIGURES 13A through 17B schematically illustrates modifications of the above embodiment according to FIGURES 12A-
10 12C. Thus, FIGURES 13A and 13B show an embodiment similar to that of FIGURES 12A-12C except that the bending members are oval and not rotatable. FIGURES 14A and 14B show an embodiment similar to that of FIGURES 13A and 13B except that the oval bending members are rotatable to release the esophagus (or
15 stomach), see FIGURE 14A, and squeeze the esophagus, see FIGURE 14B. FIGURES 15A and 15B show an embodiment similar to that of FIGURES 12A-12C except that the intermediate roller has a changeable diameter to release the esophagus (or stomach), see FIGURE 15A, and squeeze the esophagus, see FIGURE 15B. FIGURES
20 16A and 16B show an embodiment similar to that of FIGURES 10A-10C except that the elements are replaced by two cylindrical rollers positioned on opposite sides of the esophagus. Finally, FIGURES 17A and 17B show an embodiment substantially similar to that of FIGURES 16A and 16B except that the restriction device
25 is turned 90° to form a S-shaped curvature of the esophagus.

FIGURE 18 illustrates an embodiment of the heartburn and reflux disease treatment apparatus of the invention implanted in a patient. Thus, an assembly of the device implanted in the patient comprises an adjustable restriction device 234 engaging
30 the esophagus 206 close to the cardia, an adjustment device (which may include an inflatable cavity in the restriction device) for adjusting the restriction device, and a unit 236

which includes a hydraulic operation means (which may include a pump) for operating the adjustment device and a fluid reservoir for supplying fluid to the operation means. The restriction device 234 is provided with a soft support member 237, which
5 abuts upwardly against the diaphragm 239 of the patient. A wireless remote control of the device comprises an external signal transmitter 238 and an implanted signal receiver 240, which includes a control unit for controlling the adjustment device of the implanted assembly in response to a control
10 signal from the transmitter 238. The signal receiver 240 further includes an energizer unit which transforms energy from the control signal transmitted by the transmitter 238 into electric energy for energy consuming implanted components of the device.

15 A pressure sensor 241 is implanted for sensing the pressure on the restriction device 234. The control unit of the signal receiver 240 controls the adjustment device to release the restriction device 234 in response to the pressure sensor 241 sensing an abnormal high pressure.

20 The embodiment according to FIGURE 18 is particularly suited for patients that require regular adjustments of the restriction device during the day.

FIGURE 19 illustrates another embodiment of the heartburn and reflux disease treatment apparatus of the
25 invention implanted in a patient. In this embodiment the restriction device 234 is provided with an expandable cavity²³⁷ whereby the size of the restricted cross-sectional area of the food passageway is reduced upon expansion of the cavity and increased upon contraction of the cavity. An injection port 402
30 is implanted subcutaneously in the patient for transcutaneously adding fluid to and withdrawing fluid from the cavity of the restrictio device 234 by the use of an injection needle. The

embodiment according to FIGURE 19 is particularly suited for patients that do not require frequent adjustments of the restriction device 234.

There are a number of conceivable alternative embodiments of the invention that give the same result as the above-described embodiments. For example, the microprocessor of the external and implanted, respectively, control units may be replaced by discrete components. The power amplifier of the external control unit may be omitted if the signals generated by the signal generator are strong enough. Therefore, the invention is to be accorded the broadest interpretation of the appended claims to encompass all equivalent structures and assemblies.

One further advantage with this invention is that there may be a night button on the remote control setting the adjustment device in a position with a larger stoma diameter during the night, thus avoiding vomiting or nausea.

CLAIMS

5 1. A heartburn and reflux disease treatment device,
comprising an adjustable restriction means (2) adapted to
engage the stomach close to the cardia or esophagus of a
patient to form a restricted food passageway in the stomach or
esophagus, characterised by an implantable adjustment means (5)
10 for post-operative adjusting the restriction means (2) to
enlarge and restrict the food passageway, when the restriction
means is implanted in the patient.

 2. A heartburn and reflux disease treatment device
15 according to claim 1, comprising an implantable operation
device (6,8;10;12;10,14;76-86) for operating the adjustment
means.

 3. A heartburn and reflux disease treatment device
20 according to claim 2, wherein the implantable operation device
(6,8;10;12;10,14;76-86) comprises hydraulic operation device.

 4. An apparatus according to claim 3, further comprising
a reservoir (4;10;16) implantable in the patient and containing
25 hydraulic fluid, wherein the operation device
(6,8;10;12;10,14;76-86) is adapted to operate the adjustment
device (5) by using the hydraulic fluid of the reservoir.

 5. An apparatus according to claim 4, wherein the
30 adjustment means comprises an expandable cavity (5) in the
restriction member (2), the food passageway being restricted
upon expansion of the cavity and enlarged upon contraction of

the cavity, and the hydraulic operation means (6,8;10;12;10,14;76-86) is adapted to distribute hydraulic fluid from the reservoir (4;10;16) to expand the cavity, and to distribute hydraulic fluid from the cavity to the reservoir to
5 contract the cavity.

6. An apparatus according to claim 5, wherein the reservoir (4;10;16) contains a predetermined amount of hydraulic fluid and, wherein the reservoir (10) defines a
10 chamber (42;64) for said predetermined amount of fluid and the operation device is adapted to change the size of the chamber.

7. An apparatus according to claim 6, wherein the operation device comprises first and second wall portions
15 (48;66) of the reservoir (10) and is adapted to provide relative displacement between the first and second wall portions of the reservoir, in order to change the volume of the chamber (42;64).

20 8. An apparatus according to claim 7, wherein the operation device is adapted to provide said relative displacement in response to the pressure in the reservoir.

9. An apparatus according to claim 8, wherein the
25 operation device comprises a pressure controlled hydraulic operation device.

10. An apparatus according to claim 7, wherein the first and second wall portions of the reservoir (10) are displaceable
30 relative to each other by magnetic means, hydraulic means, or electric control means, or a combination thereof.

11. An apparatus according to claim 7, wherein the operation device is adapted to distribute fluid from the reservoir (10) to the cavity (5) of the restriction device (2) in response to a predetermined first displacement of the first wall portion (48;66) of the reservoir relative to the second wall portion of the reservoir and to distribute fluid from the cavity to the reservoir in response to a predetermined second displacement of the first wall portion relative to the second wall portion.

10

12. An apparatus according to claim 5, wherein the operation device comprises a pump (6,12) adapted to pump fluid between the reservoir (4) and the cavity (5) of the restriction device (2).

15

13. An apparatus according to claim 12, wherein the pump comprises a first activation member for activating the pump to pump fluid from the reservoir (4) to the cavity (5) of the restriction device (2) and a second activation member for activating the pump to pump fluid from the cavity to the reservoir.

20

14. An apparatus according to claim 13, wherein the first and second activation members are operable by manual manipulation.

25

15. An apparatus according to claim 13, wherein at least one of the activation members is adapted to operate when subjected to a predetermined external pressure.

30

16. An apparatus according to claim 13, wherein at least one of the first and second activating members are operable by

magnetic means, hydraulic means, electric control means or manual manipulation means, or a combination thereof.

17. An apparatus according to any of claims 1-16, wherein
5 the operation device comprises a servo means.

18. An apparatus according to any of claims 7, 10 or 12 wherein the operation device comprises a reverse servo.

10 19. An apparatus according to claim 2, wherein the operation device comprises a servo means operatively connected to the adjustment device.

20. An apparatus according to claim 2 or 19, wherein the
15 operation device is powered.

21. An apparatus according to claim 2 or 19, wherein the operation device is manually operated.

20 22. An apparatus according to claim 19 or 20, wherein the servo means comprises a motor, preferably an electric motor.

23. An apparatus according to claim 22, wherein the motor
is reversible.

25

24. An apparatus according to claim 22 or 23, further comprising a gearing connected between the motor and the adjustment device.

30 25. An apparatus according to any of claims 19-21, further comprising an implantable reservoir (4;10;16) defining a chamber for hydraulic fluid, wherein the operation device is

adapted to operate the adjustment device (5) by using the hydraulic fluid of the reservoir.

26. An apparatus according to claim 25, Wherein the
5 reservoir (4;10;16) contains a predetermined amount of hydraulic fluid.

27. An apparatus according to any of claims 19-21 or 25-
26 wherein the servo means comprises a reverse servo.
10

28. An apparatus according to claims 25 and 27, wherein
the the reservoir (204) comprises first and second wall
portions and the reverse servo is adapted to provide relative
displacement between the first and second wall portions of the
15 reservoir, in order to change the volume of the reservoir.

29. An apparatus according to claim 28 and, wherein the
reverse servo device is adapted to provide said relative
displacement in response to the pressure in the reservoir.
20

30. An apparatus according to any of claims 19-29,
wherein the servo means comprises a pressure controlled servo
means.

25 31. An apparatus according to claim 30, further comprising
an alarm adapted to generate an alarm signal in response to the
lapse of a predetermined time period during which the pressure
controlling the servo means exceeds a predetermined high value.

30 32. An apparatus according to claim 27, wherein the
reverse servo comprises magnetic means, electric means or
manual manipulation means or a combination thereof.

33. An apparatus according to claim 28, wherein the reverse servo comprises hydraulic means (10,14, 76-86).

5 34. An apparatus according to claim 33, wherein the reverse servo further comprises a servo reservoir defining a chamber containing servo fluid, and the operation device comprise first and second wall portions of the servo reservoir, which are displaceable relative to each other to change the
10 volume of the chamber of the servo reservoir.

35. An apparatus according to claim 34, wherein the first and second wall portions of the servo reservoir are displaceable relative to each other by magnetic means,
15 hydraulic means, or electric control means.

36. An apparatus according to claim 33, wherein the reverse servo comprises a servo reservoir (14) and a fluid supply reservoir (10) connected in a closed system and
20 containing a further predetermined amount of fluid.

37. An apparatus according to claim 36, wherein the fluid supply reservoir (10) defines a chamber for the further predetermined amount of fluid and the hydraulic operation
25 device is adapted to change the volume of the chamber and thereby control the amount of fluid in the servo reservoir (14).

38. An apparatus according to claim 37, wherein the fluid
30 supply reservoir (10) comprises first and second wall portions, which are displaceable relative to each other to change the volume of the chamber of the fluid supply reservoir.

39. An apparatus according to claim 38, wherein the fluid supply reservoir (10) increases the amount of fluid in the servo reservoir (14) in response to a predetermined first displacement of the first wall portion of the fluid supply reservoir relative to the second wall portion of the fluid supply reservoir and decreases the amount of fluid in the servo reservoir in response to a predetermined second displacement of the first wall portion of the fluid supply reservoir relative to the second wall portion of the fluid supply reservoir.

40. An apparatus according to any of claims 1 or 19, wherein the adjustment device comprises a hydraulic adjustment device, and further comprising a reservoir implantable in the patient and containing a predetermined amount of hydraulic fluid, and a conduit providing fluid connection between the reservoir and the hydraulic adjustment device, the operation device being adapted to operate the hydraulic adjustment device by distributing hydraulic fluid through the conduit between the reservoir and the hydraulic adjustment device, the conduit and hydraulic adjustment device being devoid of any non-return valve to permit free flow of hydraulic fluid in both directions in the conduit.

41. An apparatus according to claim 40, wherein the reservoir forms a fluid chamber with a variable volume, and the operation device is adapted to distribute fluid from the chamber to the adjustment device by reduction of the volume of the chamber and to withdraw fluid from the adjustment device by expansion of the volume of the chamber.

42. An apparatus according to claim 41, wherein the

operation device comprises a motor or a pump.

43. An apparatus according to claim 41 or 42, wherein the operation device comprises a movable wall of the reservoir for
5 changing the volume of the chamber.

44. An apparatus according to claim 43, wherein the operation device is adapted to change the volume of the chamber by moving the movable wall in response to the pressure in the
10 chamber.

45. An apparatus according to claim 6 or 25, further comprising an injection port subcutaneously implantable in the patient and in fluid communication with the chamber.
15

46. An apparatus according to claim 45, wherein the injection port is integrated in the reservoir.

47. An apparatus according to claim 1 or 19, wherein the
20 restriction means comprises an elongated restriction member and forming means for forming the restriction member into at least a substantially closed loop around the esophagus or stomach, the loop defining a restriction opening, whereby the adjustment means is adapted to adjust the restriction member in the loop
25 to change the size of the restriction opening.

48. An apparatus according to claim 47, wherein the forming means forms the restriction member (2) into a loop having a predetermined size or a size selected from several
30 predetermined sizes.

49. An apparatus according to claim 47, wherein the

adjustment device is adapted to change the size of the restriction opening such that the outer circumferential confinement surface of the restriction member is changed.

5 50. An apparatus according to claim 47, wherein the adjustment device is adapted to change the size of the restriction opening such that the outer circumferential confinement surface of the restriction member is unchanged.

10 51. An apparatus according to claim 47, wherein the restriction member is non-inflatable, and the adjustment device is adapted to adjust the restriction member in said loop.

 52. An apparatus according to claim 35, wherein the
15 adjustment device mechanically adjusts the restriction member.

 53. An apparatus according to claim 51, wherein the adjustment device hydraulically adjusts the non-inflatable restriction member.

20

 54. An apparatus according to claim 52 or 53, wherein the elongated restriction member (204) is flexible, and the adjustment means (210) is adapted to pull a first portion (204A) of the flexible restriction member from a second portion (204B) of the flexible restriction member opposite the first
25 portion in the loop to squeeze the esophagus (206) or stomach between two opposite lengths of the elongated flexible restriction member to restrict the passageway, and to release the esophagus or stomach from the flexible restriction member
30 to enlarge the passageway.

 55. An apparatus according to claim 1 or 19, wherein the

adjustment device mechanically adjusts the restriction device.

56. An apparatus according to any of claims 1 or 19 and 3 or 40 or 55, wherein the restriction means (212) comprises at least two elements (214) to be placed on different sides of the esophagus (206) or stomach, and the adjustment means is adapted to squeeze the esophagus or stomach between the elements to restrict the food passageway in the esophagus or stomach, and to release the esophagus or stomach from the elements to enlarge the food passageway (Figs. 10A,10B).

57. An apparatus according to any of claims 1 or 19, wherein the restriction means (218) comprises at least two articulated clamping elements (220) to be positioned on opposite or different sides of the esophagus (206) or stomach, and the adjustment means (222) is adapted to turn the clamping elements toward each other to clamp the esophagus or stomach between the clamping elements to restrict the food passageway in the esophagus or stomach, and to turn the clamping elements away from each other to release the esophagus or stomach from the elements to enlarge the food passageway (Fig. 11).

58. An apparatus according to any of claims 1 or 19 and 3 or 40 or 55, wherein the restriction means is adapted to bend a portion of the esophagus or stomach (Figs. 12A-17B).

59. An apparatus according to claim 42, wherein the restriction means (224) comprises at least two bending members (226-230) to be positioned on opposite sides of the esophagus (206) or stomach and to be displaced relative to each other along the food passageway in the esophagus or stomach, and the adjustment means (232) is adapted to move the bending members

against the esophagus or stomach to bend the esophagus or stomach to restrict the food passageway in the esophagus or stomach; and to move the bending members away from the esophagus or stomach to release the esophagus or stomach from
5 the bending members to enlarge the food passageway (Figs. 12A-12C).

60. An apparatus according to claim 43, wherein the bending members comprise rollers.
10

61. An apparatus according to any of claims 1 or 19, wherein the restriction means is adapted to rotate a portion of the esophagus or stomach.

15 62. An apparatus according to any of the preceding claims, further comprising a wireless remote control (44,126,132-144) for non-invasively controlling the hydraulic operation device (6,8;10;12;10,14;76-86).

20 63. An apparatus according to claim 62, wherein the remote control comprises an external wireless hand-held remote control unit which is manually operable by the patient to control the restriction device change the restriction of the food passageway.

25 64. An apparatus according to claim 62, wherein the remote control (44,126,132-144) comprises an external signal transmitter (132,136), receiver or transceiver and a signal receiver (134,138), transmitter or transceiver implantable in
30 the patient.

65. An apparatus according to claim 64, wherein the

signal receiver (134,138) and/or transmitter comprises a control unit (138) adapted to control the operation device (6,8;10;12;10,14;76-86) in response to a control signal received from the signal transmitter (132, 136).

5

66. An apparatus according to claim 65, further comprising an implantable energizer unit (136) for providing energy to energy consuming implantable components of the apparatus.

10

67. An apparatus according to claim 1 or 19 or 66, wherein the operation device comprises a motor (44) for operating the adjustment device.

15

68. An apparatus according to claims 66 and 67, wherein the control unit (138) is adapted to power the motor (44) with energy provided by the energizer unit (136) in response to a control signal received from the signal transmitter (132,136).

20

69. An apparatus according to claim 62, wherein the remote control (44,126,132-144) comprises wireless energy transfer means for transferring energy from outside the patient's body to energy consuming implantable components of the apparatus.

25

70. An apparatus according to claim 66 and 69, wherein the energy transfer means comprises an implantable energizer unit (126), which is adapted to transform energy from the control signal, as it is transmitted to the signal receiver (134,138), into electric energy.

30

71. An apparatus according to claim 69, wherein the

operation device (6;8;10;12;10,14;76-86) comprises a motor (44), and the wireless energy transfer means is adapted to directly power the motor with transferred energy.

5 72. An apparatus according to claim 70 or 71, wherein the energy transferred by the wireless energy transfer means comprises a signal.

10 73. An apparatus according to claim 72, wherein the signal comprises a wave signal.

15 74. An apparatus according to claim 70 or 71, wherein the energy transferred by the wireless energy transfer means comprises an electric field or a magnetic field or a combination thereof.

 75. An apparatus according to claim 72, wherein the signal is analog or digital or a combination thereof.

20 76. An apparatus according to claim 64 or 65, wherein the signal transmitter (132,136) and signal receiver (134,138) are adapted to transmit and receive an analog or digital signal or a combination thereof.

25 77. An apparatus according to claim 75 or 76, wherein the signal comprises analog or digital pulses.

30 78. An apparatus according to any of claims 75-77, wherein the analog or digital signal comprises a magnetic field or an electric field or a combination thereof.

 79. An apparatus according to claim 64 or 65, wherein the

signal transmitter (132,136) and signal receiver (134,138) are adapted to transmit and receive a wave signal.

80. An apparatus according to claim 72 or 79, wherein the
5 wave signal comprises an electromagnetic wave signal, a sound wave signal or a carrier wave signal for a remote control signal or a combination thereof.

81. An apparatus according to claim 80, wherein the
10 carrier signal is frequency, amplitude or frequency and amplitude modulated.

82. An apparatus according to claim 72 or 75 wherein the
15 energy transfer means transfers the energy from the signal into a direct, pulsating direct or alternating current or a combination thereof.

83. An apparatus according to claim 62, wherein the
20 remote control (44,126,132-144) is capable of obtaining information related to important parameters of the apparatus from inside the patient's body and of commanding the adjustment device (5) to adjust the restriction device (2) in response to obtained information.

25 84. An apparatus according to claim 62, wherein the remote control is capable of obtaining information related to the food passageway in the stomach or esophagus and of commanding the adjustment means to adjust the restriction means in response to obtained information.

30

85. An apparatus according to any of the claims 1, 3, 19,
40 or 55, further comprising an implantable source of energy

for powering the operation device and/or for energizing other energy consuming components of the apparatus, wherein the energy from the source of energy is releasable from outside the patient's body.

5

86. An apparatus according to any of the claims 1, 3, 19, 40 or 55, further comprising an energy transmission device for wireless transmission of energy.

10

87. An apparatus according to claim 85 and 86, wherein the energy transmission device transmits energy of a first form, and further comprising an energy transforming device implantable in the patient for transforming the energy of the first form into energy of a second form, to be supplied to the source of energy and/or other implantable energy consuming parts of the apparatus.

15

88. An apparatus according to claim 87, wherein the energy of the second form is different than the energy of the first form.

20

89. An apparatus according to claim 87, wherein the energy transmission device functions different from the energy transforming device.

25

90. An apparatus according to claim 86, further comprising an implantable motor or pump for operating the adjustment device, wherein the energy transmission device is adapted to transmit wireless energy in the form of an magnetic field or electromagnetic waves or field for direct power of the motor or pump, as the wireless energy is being transmitted.

30

91. An apparatus according to claim 65 and 86, wherein the energy transmission device transmits energy by at least one signal separate from the control signal.

5 92. An apparatus according to claim 87, further comprising an implantable stabiliser for stabilising the energy of the first or second form.

10 93. An apparatus according to claim 92, wherein the energy of the second form comprises electric current and the stabiliser comprises at least one capacitor.

15 94. An apparatus according to any of claims 85, 87-89, wherein the source of energy comprises a battery, accumulator, capacitor or a combination thereof.

20 95. An apparatus according to any of the claims 1, 3, 19, 40 or 55, further comprising a control device adapted to produce wireless energy for directly powering the operation device and/or for energizing other energy consuming components of the apparatus.

25 96. An apparatus according to any of the claims 1, 3, 19, 40 or 55, further comprising an implantable energy transforming device for transforming wireless energy directly or indirectly into energy different than the wireless energy for operation of the restriction device.

30 97. An apparatus according to claim 95 or 96, wherein the wireless energy comprises a wave signal including a sound wave signal, an ultrasound wave signal, an electromagnetic wave

signal, an infrared light signal, a visible light signal, an ultra violet light signal, a laser light signal, a micro wave signal, a radio wave signal, an x-ray radiation signal or a gamma radiation signal.

5

98. An apparatus according to any of the claims 1, 3, 19, 40 or 55, further comprising an energy transfer means (22,326,332-344) for wireless transfer of energy from outside the patient's body to the operation device or adjustment device
10 and/or other energy consuming implantable components of the apparatus.

99. An apparatus apparatus according to claim 95, wherein the control device is adapted to produce wireless energy in the
15 form of a train of energy pulses.

100. An apparatus according to claim 69 or 98, wherein the energy transfer means is adapted to intermittently transfer the energy in the form of a train of energy pulses for direct
20 use in connection with the energising of the energy consuming components of the apparatus.

101. An apparatus according to claim 95 and 87 wherein the control device is adapted to control the energy
25 transforming device to produce the energy of the second form in a train of energy pulses for direct use in connection with the operation of the adjustment device.

102. An apparatus according to claim 100 or 101, wherein
30 the energy transfer device is adapted to transfer electric energy, and further comprising an implantable capacitor for producing the train of energy pulses.

103. An apparatus according to claim 102 or 93,94, wherein the capacitor has a capacity less than 0,1 μ F.

5 104. An apparatus according to claim 98, further comprising an implantable motor (22) or pump for operating the adjustment device (12;52;66;90,92;104;110), wherein the energy transfer means is adapted to directly power the motor or pump with transferred energy.

10

105. An apparatus according to claim 90 or 104, wherein the pump is not a plunger type of pump.

106. An apparatus according to any of the claims 1, 3,
15 19, 40 or 55, wherein the adjustment device is adapted to adjust the restriction device in a non-manual, non-thermal or non-magnetic manner.

107. An apparatus according to any of claims 63-106,
20 further comprising a wireless remote control (44,126,132-144) for non-invasively controlling the operation device (6,8;10;12;10,14;76-86).

108. An apparatus according to any one of the preceding
25 claims, wherein the operation device is electrically powered.

109. An apparatus according to any of the claims 1, 19, 40 or 90, wherein the operation device is unpowerable by static permanent magnetic energy.

30

110. An apparatus according to any of the claims 1, 3, 19, 40 or 55, wherein the operation device is adapted to non-

invasively operate the adjustment device.

111. An apparatus according to any of the claims 1, 3, 19, 40 or 55, wherein the restriction means is operable to
5 steplessly adjust the restriction of the food passageway.

112. An apparatus according to claim 1, 3, 19 or 40, wherein the operation device comprises a hydraulic operation device which uses hydraulic fluid, the viscosity of which
10 changes when the hydraulic fluid is exposed to energy different than thermal energy.

113. An apparatus according to claim 108, wherein the viscosity of the hydraulic fluid changes when the fluid is
15 exposed to electric energy.

114. An apparatus according to claim 1 or 19, further comprising an adjustment device for adjusting the restriction device to change the restriction of the food intake passageway,
20 wherein the adjustment device is adapted to mechanically adjust the restriction device, or adapted to hydraulically adjust the restriction device by using hydraulic means which is devoid of hydraulic fluid of the kind having a viscosity that substantially increases when exposed to heat or a magnetic
25 field.

115. An apparatus according to claim 1 or 19, further comprising a control device for controlling the restriction device.

30

116. An apparatus according to claim 115, wherein the control device comprises an internal control unit implantable

in the patient for controlling the restriction device.

117. An apparatus according to claim 116, wherein the internal control unit is programmable.

5

118. An apparatus according to claim 117, wherein the control device comprises an external control unit outside the patient's body, the implantable internal control unit being programmable by the external control unit.

10

119. An apparatus according to claim 115, wherein the control device comprises an external control unit outside the patient's body for wirelessly controlling the restriction device.

15

120. An apparatus according to claim 119, wherein the external control unit is programmable.

121. An apparatus according to any one of the preceding
20 claims, further comprising at least one implantable sensor for sensing at least one physical parameter of the patient.

122. An apparatus according to claim 121, wherein the sensor is adapted to directly or indirectly sense as the
25 physical parameter the horizontal position of the patient.

123. An apparatus according to claim 121, wherein the sensor comprises a pressure sensor for directly or indirectly sensing as the physical parameter the pressure against the
30 restriction device or part of the human body.

124. An apparatus according to claim 123, wherein the

restriction means is adapted to enlarge the food passageway in the stomach or esophagus in response to the pressure sensor sensing a predetermined pressure.

5 125. An apparatus according to any one of claims 121-124, further comprising a control device for controlling the restriction device in response to signals from the sensor.

10 126. An apparatus according to claim 125, wherein the control device comprises an internal control unit implantable in the patient and directly controlling the restriction device in response to signals from the sensor.

15 127. An apparatus according to claim 126, wherein the control device comprises an external control unit outside the patient's body for controlling the restriction device in response to signals from the sensor.

20 128. An apparatus according to claim 126, wherein the control device comprises an external control unit outside the patient's body for manually controlling the restriction device in response to information from the sensor.

25 129. An apparatus according to claim 115, further comprising an implantable source of energy, wherein the control device is operable from outside the patient's body for controlling the source of energy to release energy for use in connection with the operation of the prosthesis, when the prosthesis is implanted.

30

 130. An apparatus according to claim 129, wherein the source of energy is intended to be external to the patient's

body, and the control device is adapted to control the external source of energy to release wireless energy for use in connection with the operation of the prosthesis.

5 131. An apparatus according to claim 129, wherein the control device controls the source of energy to release magnetic energy, non-magnetic energy, electromagnetic energy, non-electromagnetic energy, kinetic energy, non-kinetic energy, sonic energy, non-sonic energy, thermal energy or non-thermal
10 energy.

132. An apparatus according to any of the claims 1, 3, 19, 40 or 55, wherein the operation device is powered by magnetic energy, non-magnetic energy, electromagnetic energy,
15 non-electromagnetic energy, kinetic energy, non-kinetic energy, sonic energy, non-sonic energy, thermal energy or non-thermal energy.

133. An apparatus according to claim 129, wherein the
20 control device controls the source of energy to release energy for a determined time period.

134. An apparatus according to claim 129 or 129 and 99, wherein the control device controls the source of energy to
25 release energy in a determined number of energy pulses .

135. An apparatus according to claim 129, wherein the control device is adapted to control the source of energy to release energy in a non-invasive manner.

30

136. An apparatus according to any of the claims 1, 3, 19, 40 or 55, further comprising implantable electrical

components including at least one voltage level guard.

137. An apparatus according to any of the claims 1, 3, 19, 40 or 55, further comprising implantable electrical components including a single voltage level guard.

138. An apparatus according to claim 136 or 137, wherein the electrical components are devoid of any current detector and/or charge level detector.

10

139. An apparatus according to any of claims 85, 102, 87, 93 or 94 and 136-138, further comprising an implantable capacitor or accumulator, wherein the charge or discharge of the capacitor or accumulator is controlled by use of the voltage level guard.

15

140. An apparatus according to claim 65, 70 or 91, wherein the control signal comprises a wave signal including a sound wave signal, an ultrasound wave signal, an electromagnetic wave signal, an infrared light signal, a visible light signal, an ultra violet light signal, a laser light signal, a micro wave signal, a radio wave signal, an x-ray radiation signal or a gamma radiation signal.

20

141. An apparatus according to any of the preceding claims, further comprising a switch implantable in the patient for directly or indirectly switching the operation of the restriction device.

25

142. An apparatus according to claim 141 and 86, further comprising a source of energy implantable in the patient for supplying energy for the operation of the restriction device,

30

wherein the switch is operated by the energy supplied by the energy transmission device to switch from an off mode, in which the source of energy is not in use, to an on mode, in which the source of energy supplies energy for the operation of the
5 restriction device.

143. An apparatus according to claim 141 and 86, further comprising a source of energy implantable in the patient for supplying energy for the operation of the restriction device,
10 and a remote control for controlling the supply of energy of the implantable source of energy, wherein the switch is operated by the energy supplied by the energy transmission device to switch from an off mode, in which the remote control is prevented from controlling the source of energy and the
15 source of energy is not in use, to a standby mode, in which the remote control is permitted to control the source of energy to supply energy for the operation of the restriction device.

144. An apparatus according to claim 141 and 87, further
20 comprising a source of energy implantable in the patient for supplying energy for the operation of the restriction device, wherein the switch is operated by the energy supplied by the energy transforming device to switch from an off mode, in which the source of energy is not in use, to an on mode, in which the
25 source of energy supplies energy for the operation of the restriction device.

145. An apparatus according to claim 141 and 87, further comprising a source of energy implantable in the patient for
30 supplying energy for the operation of the restriction device, and a remote control for controlling the supply of energy of the implantable source of energy, wherein the switch is

operated by the energy supplied by the energy transforming device to switch from an off mode, in which the remote control is prevented from controlling the source of energy and the source of energy is not in use, to a standby mode, in which the
5 remote control is permitted to control the source of energy to supply energy for the operation of the restriction device.

146. An apparatus according to any of the claims 1, 3, 19, 40 or 55, wherein the prosthesis is operable to perform a
10 reversible function.

147. An apparatus according to claim 67 or 146, further comprising a reversing device implantable in the patient for reversing the function performed by the prosthesis.
15

148. An apparatus according to claim 147, wherein the control device controls the reversing device to reverse the function performed by the prosthesis.

20 149. An apparatus according to claim 147, wherein the reversing device comprises hydraulic means including a valve for shifting the flow direction of a flowing fluid in the hydraulic means.

25 150. An apparatus according to claim 147, wherein the reversing device comprises a mechanical reversing device.

151. An apparatus according to claim 147, wherein the reversing device comprises a switch.
30

152. An apparatus according to claim 151, wherein the switch of the reversing device is operable by the released

energy.

153. An apparatus according to claim 152, wherein the control device controls the operation of the switch of the reversing device by shifting polarity of the released energy
5 supplied to the switch.

154. An apparatus according to claim 147, wherein the operation device comprises a motor, and the reversing device
10 reverses the motor.

155. An apparatus according to any of the preceding claims, wherein the restriction device is embedded in a soft or gel-like material.

15

156. An apparatus according to claim 155, wherein the restriction device is embedded in a silicone material having hardness less than 20 Shore.

20 157. An apparatus according to claim 87, wherein the energy transforming means or device is designed to be implanted subcutaneously or in the abdomen, thorax or cephalic region of the patient.

25 158. An apparatus according to any of the preceding claims, wherein the adjustment device is adapted to adjust the restriction device such that the restriction device provides a predetermined contraction of the food passageway that is satisfactory for the patient.

30

159. An apparatus according any of the claims 1, 3, 19, 40 or 55, wherein the adjustment device is adapted to adjust the

restriction device in a non-flux magnetic, non-thermal or non-viscosity changing manner.

160. An apparatus according to claim 3 or 5, wherein the
5 adjustment means comprises an expandable cavity in the
restriction means, the food passageway is restricted upon
expansion of the cavity and enlarged upon contraction of the
cavity, and the hydraulic operation means comprises an
injection port implantable subcutaneously in the patient for
10 transcutaneously adding fluid to and withdrawing fluid from the
cavity.

161. An apparatus according to claim 1 or 19 or 40,
wherein the adjustment means comprises an hydraulic operation
15 means, and the hydraulic operation means comprises an injection
port implantable subcutaneously in the patient for
transcutaneously adding fluid to and withdrawing fluid to the
hydraulic operation means.

20 162. An apparatus according to claim 161, wherein the
injection port is used only for calibration purposes and the
adjustment device is further able to increase the food
restriction opening when food should pass when the patient is
eating and swallows food.

25

163. An apparatus according to claim 161 and 19, wherein
the servo is hydraulically operated and, wherein the injection
port is used only for calibration purposes of the servo.

30 164. An apparatus according to claim 7, wherein the first
and second wall portions (66) of the reservoir are designed to
be displaceable relative to each other by manual manipulation

thereof.

165. An apparatus according to any of the preceeding claims, further comprising at least one holding device
5 implantable in the patient for holding the esophagus or stomach in a position where the left and right crus muscles are located, to prevent the region of the cardia from moving cranial through the diaphragm muscle.

10 166. An apparatus according to claim 165, wherein the holding device is placed in engagement with the food restriction apparatus.

167. An apparatus according to claim 1 or 19 or 40,
15 wherein the restriction means is operable to open and close the food passageway.

168. An apparatus according to claim 167, wherein the restriction means is operable to open the food passageway when
20 food should pas and the patient is swallowing and otherwise close the food passage way to prevent reflux of acid from the stomach.

169. An apparatus according to claim 1 or 19 or 40,
25 wherein the restriction means is operable to steplessly adjust the restriction of the food passageway.

170. An apparatus according to claim 1 or 19 or 40,
wherein the adjustment means is powered.

30

171. An apparatus according to claim 2 or 19 or 40,
wherein the operation device is powered.

172. An apparatus according to claim 1 or 19 or 40, wherein the adjustment means is operable in a non-invasive manner.

5

173. An apparatus according to claim 2 or 19 or 40, wherein the operation device is operable in a non-invasive manner.

10

174. An apparatus according to claim 9, further comprising an alarm adapted to generate an alarm signal in response to the lapse of a predetermined time period during which the pressure controlling the hydraulic operation device exceeds a predetermined high value.

15

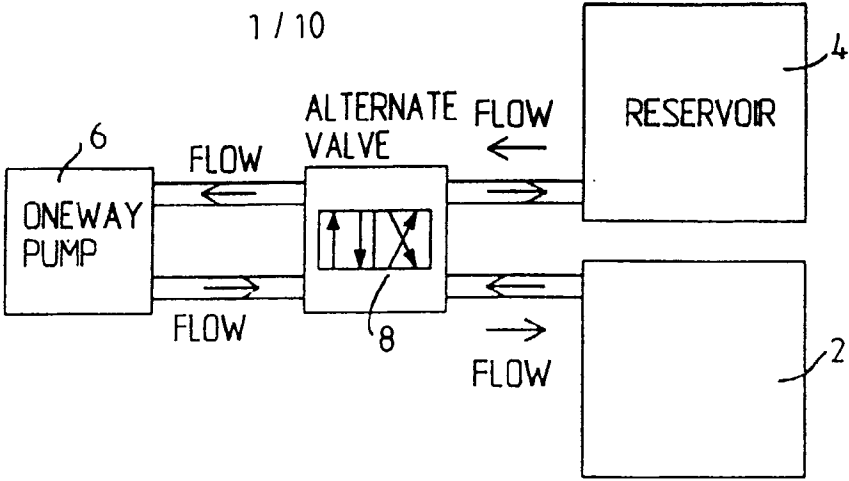


FIG.1A

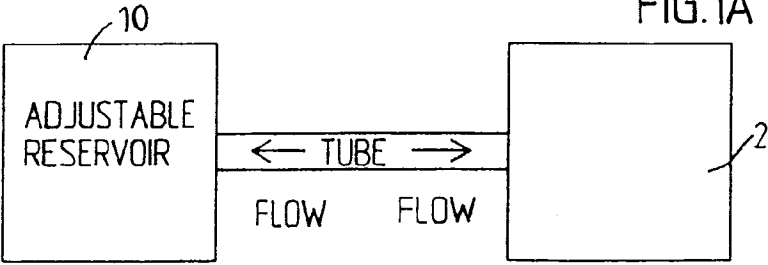


FIG.1B

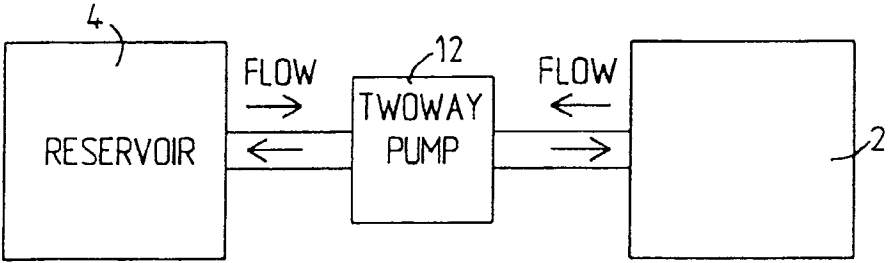


FIG.1C

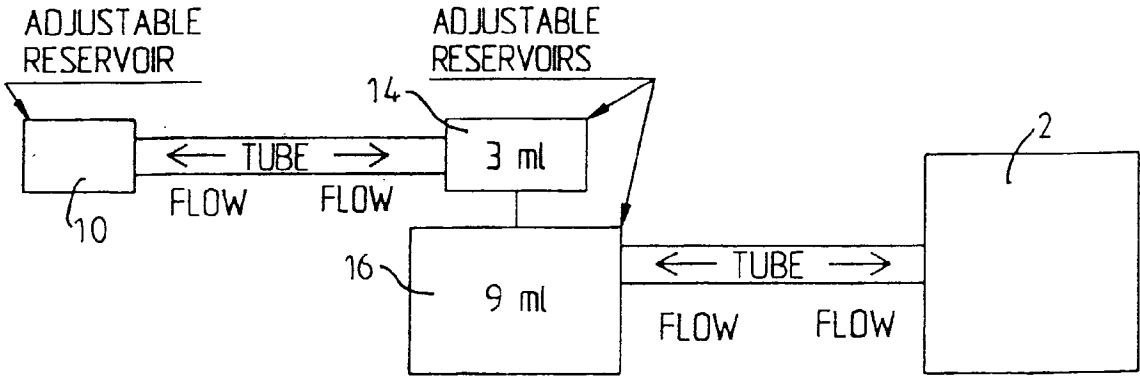
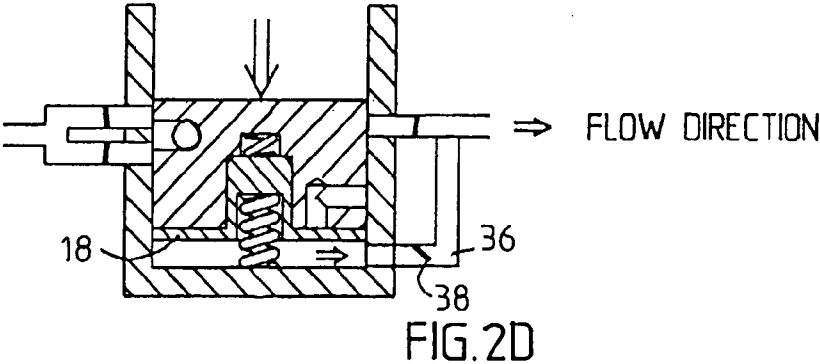
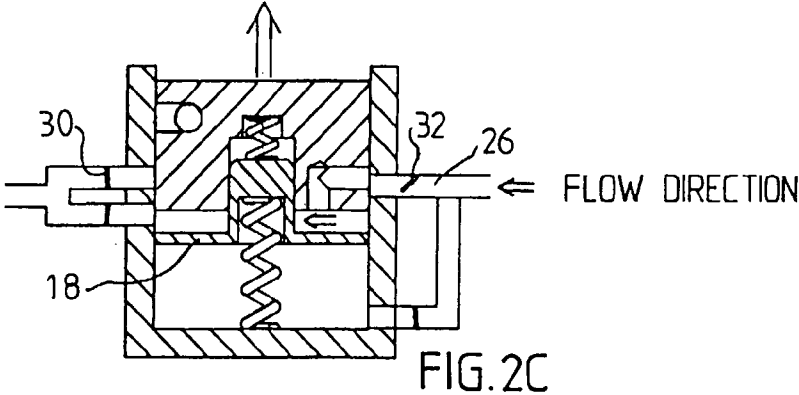
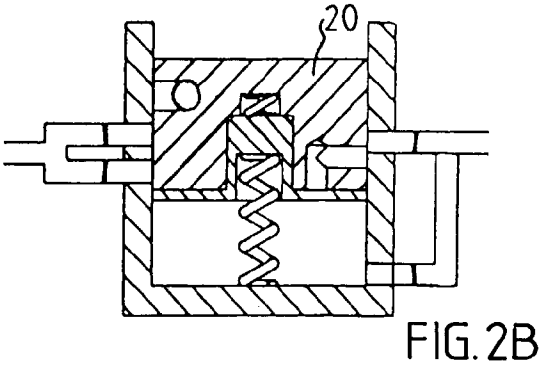
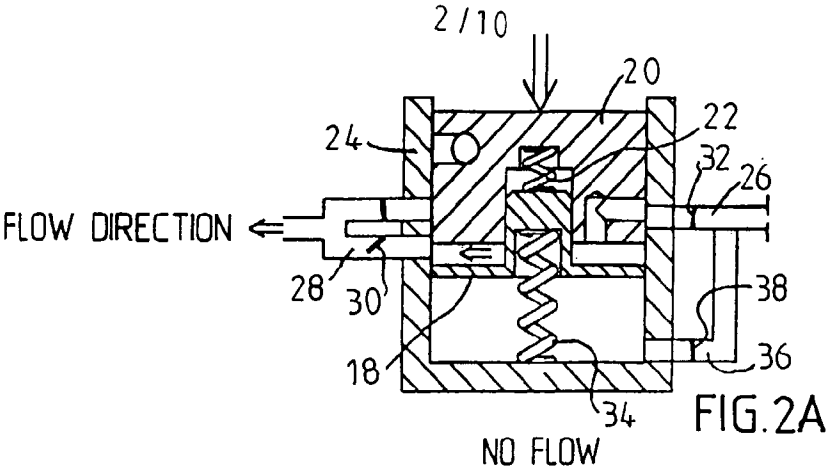
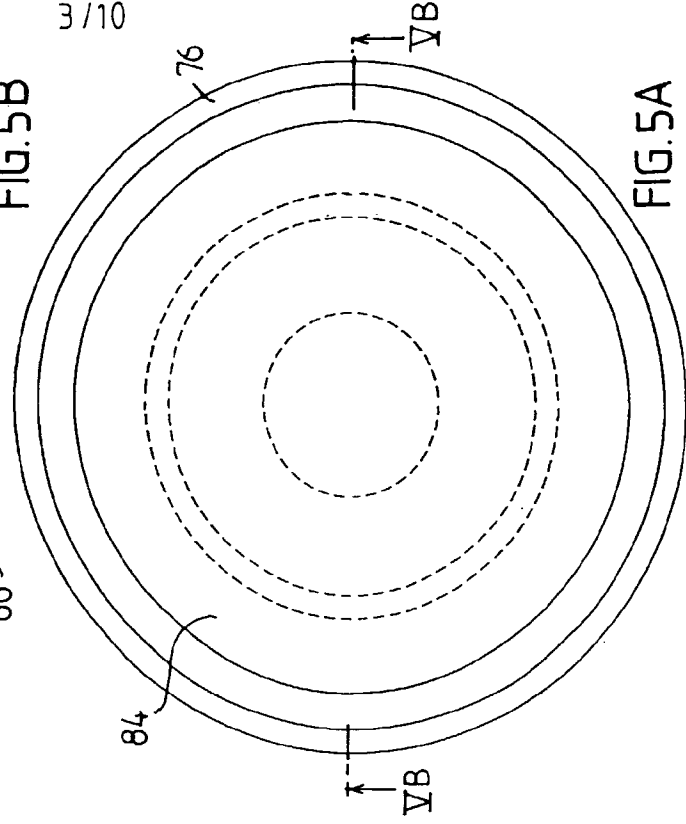
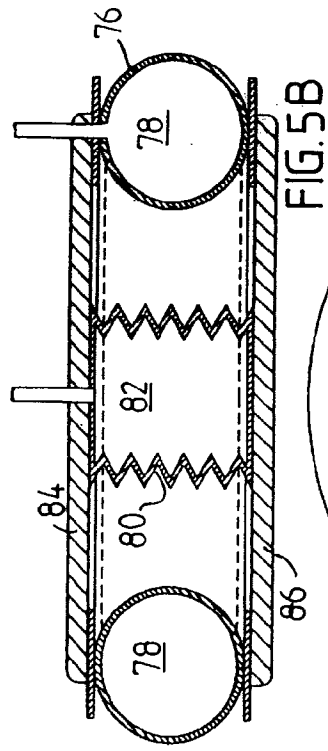
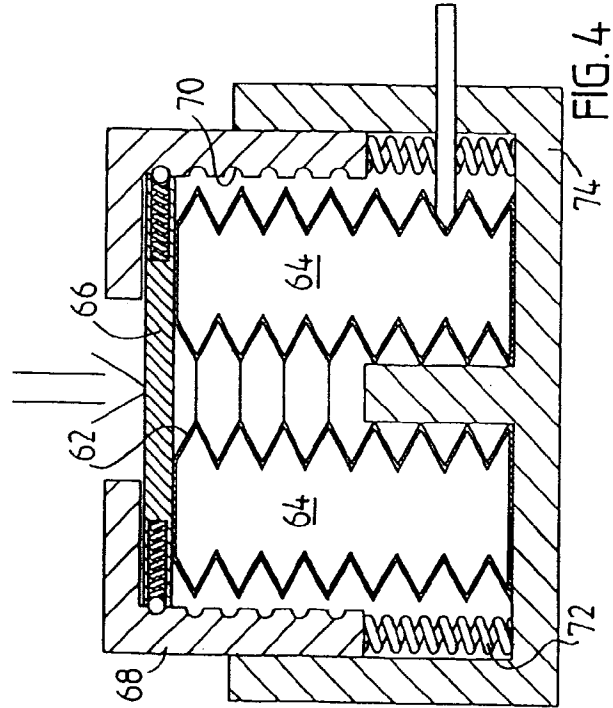
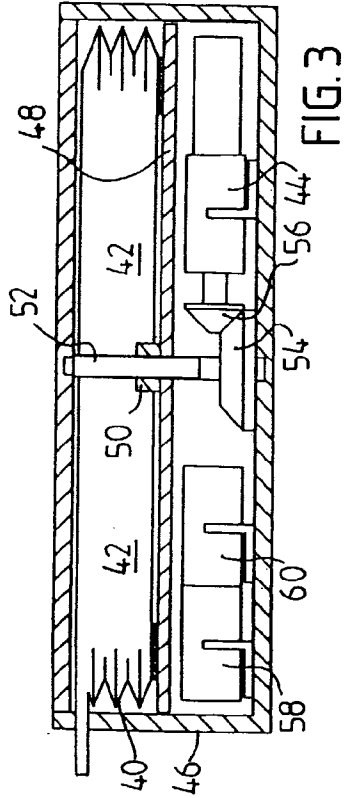


FIG.1D





3/10

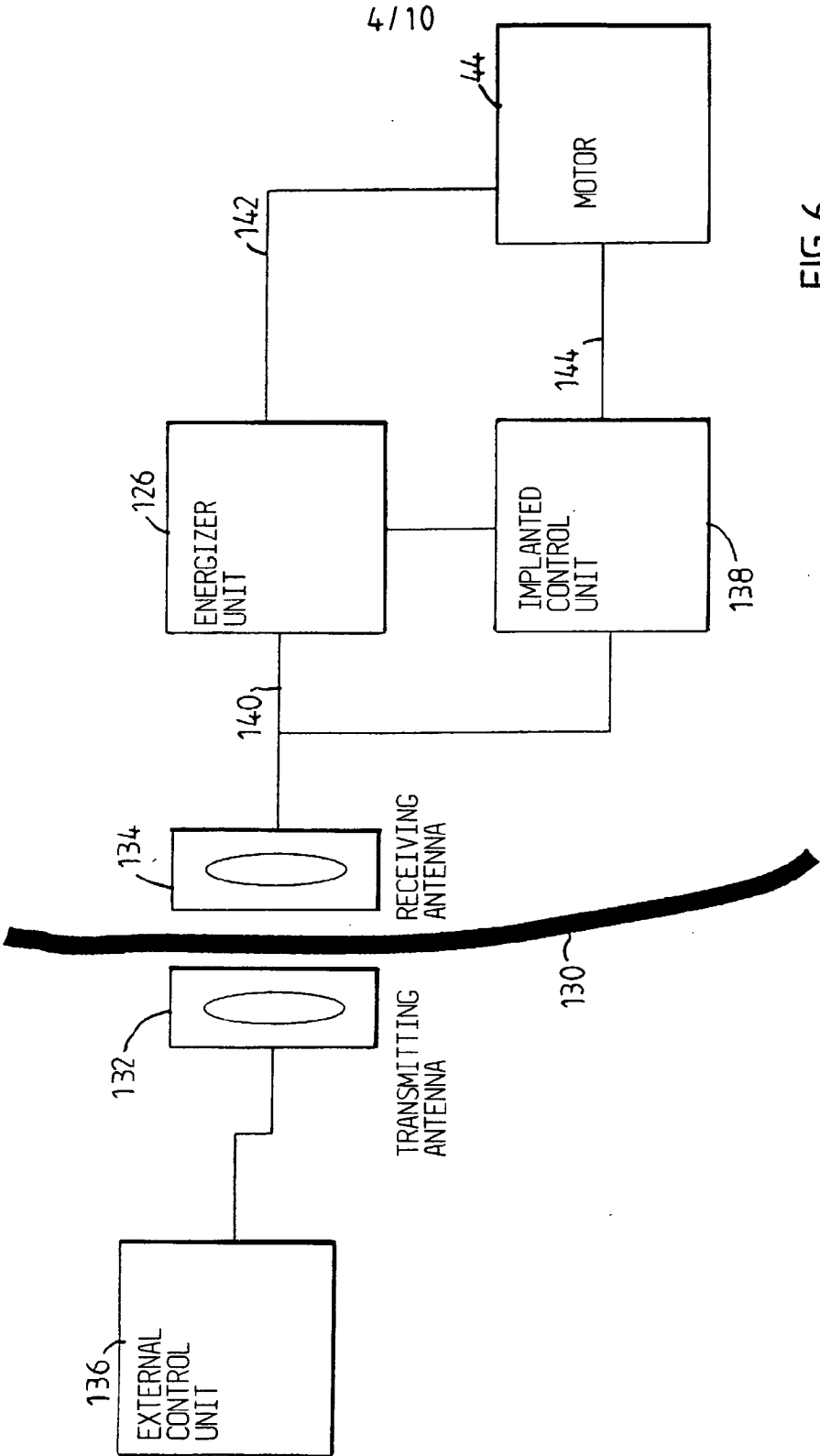


FIG. 6

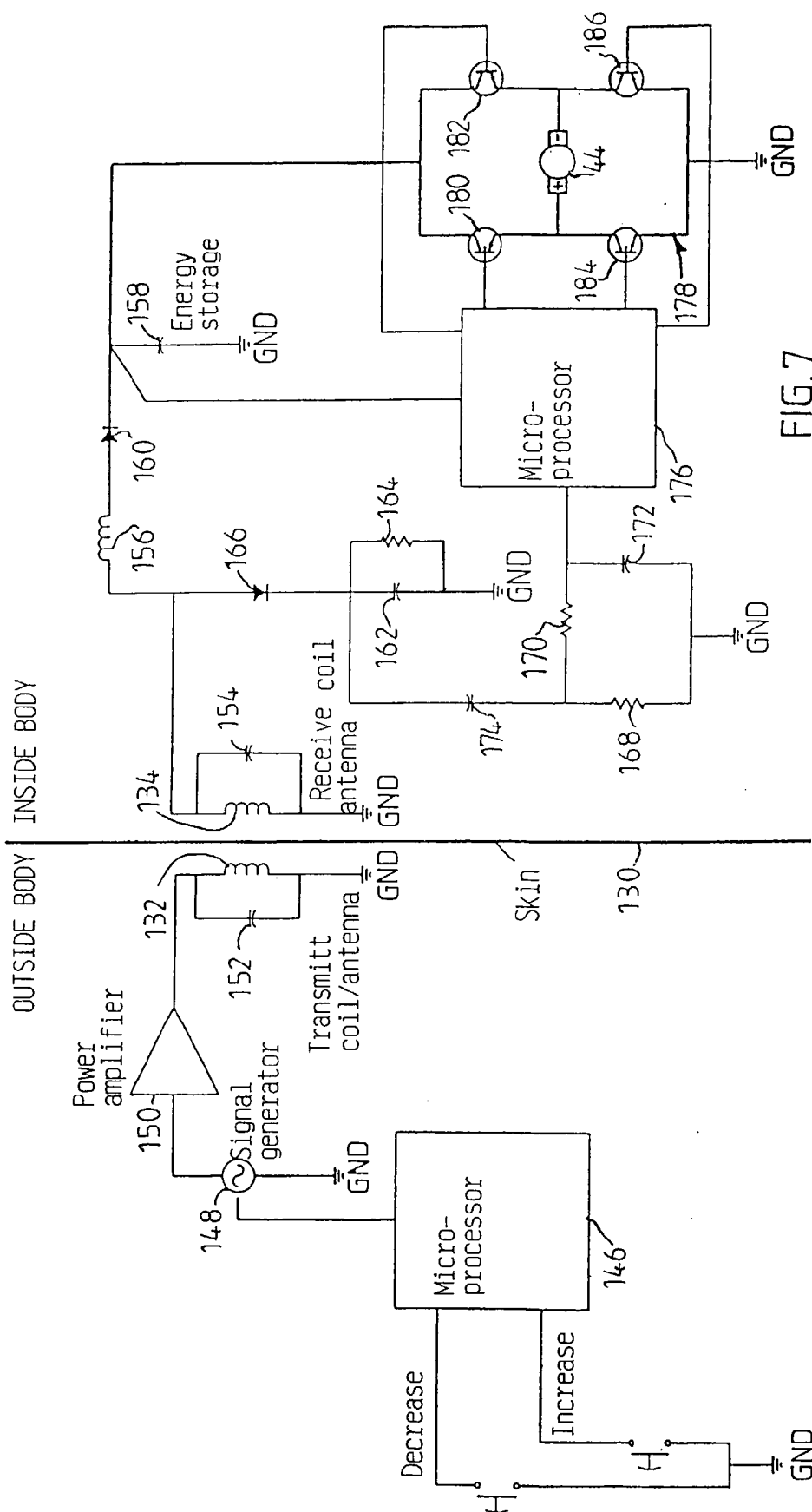


FIG. 7

6/10

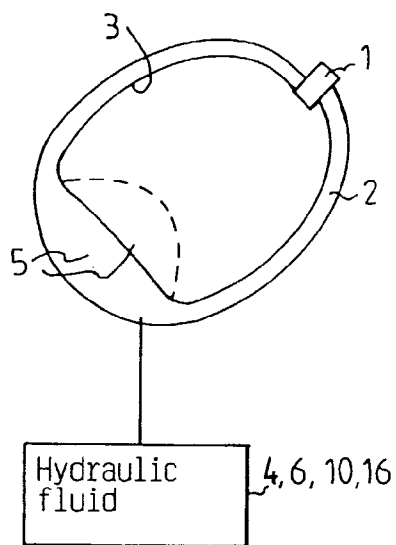
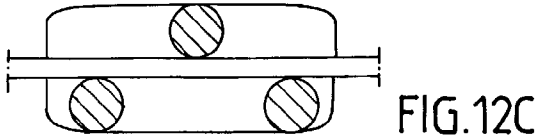
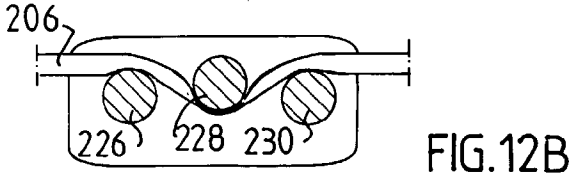
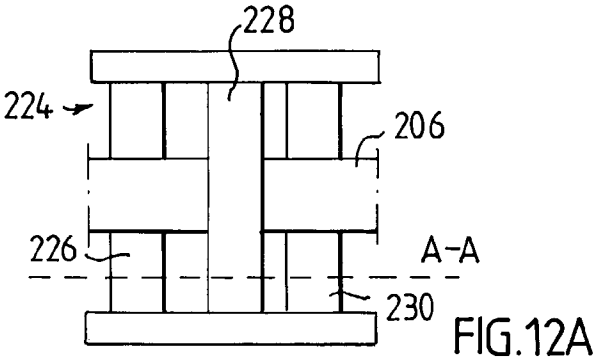
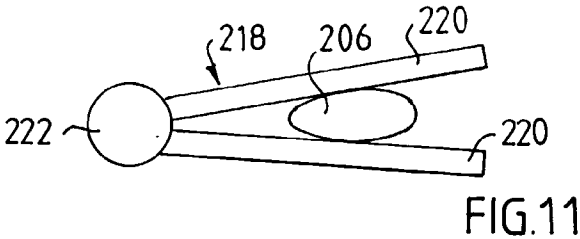
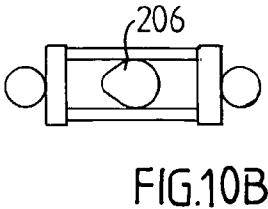
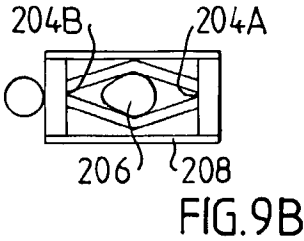
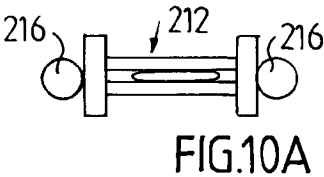
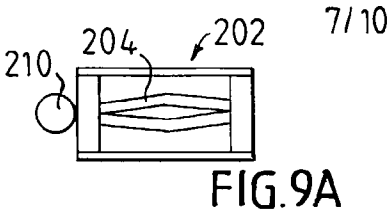


FIG. 8



8/10

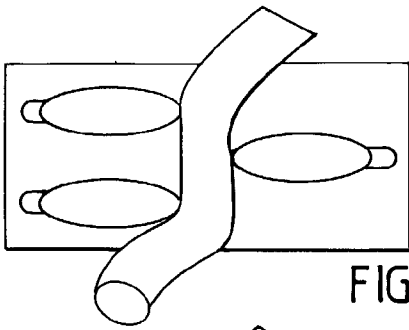


FIG.13A

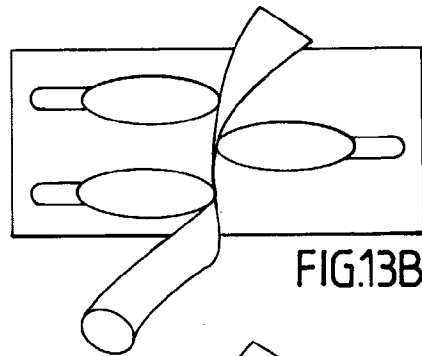


FIG.13B

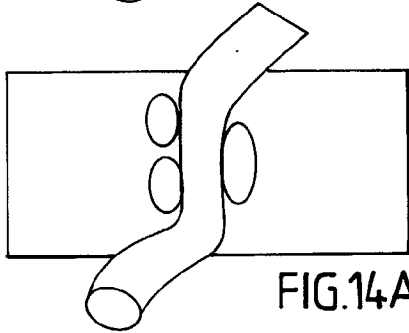


FIG.14A

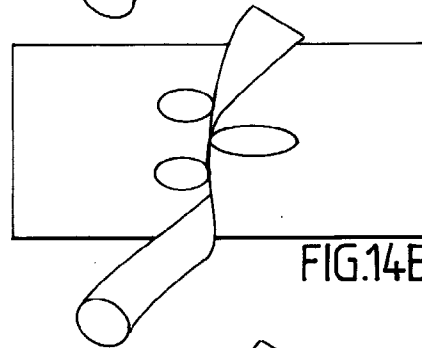


FIG.14B

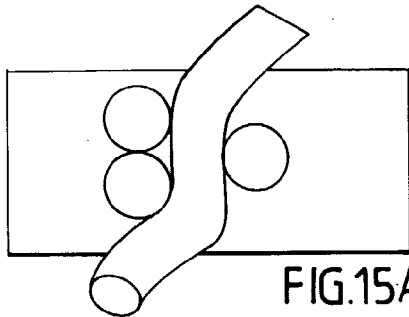


FIG.15A

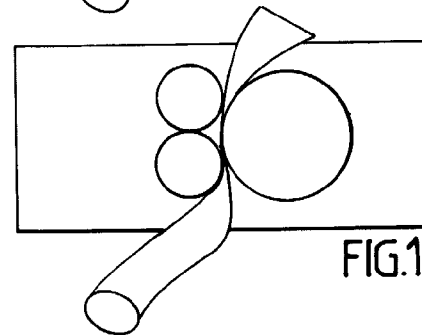


FIG.15B

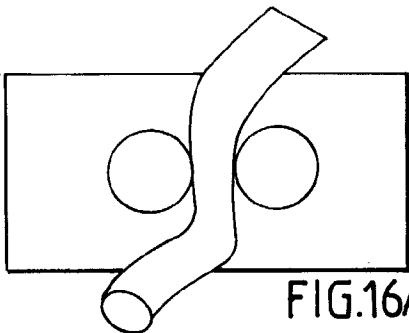


FIG.16A

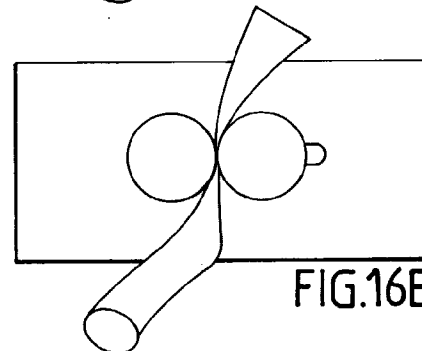


FIG.16B

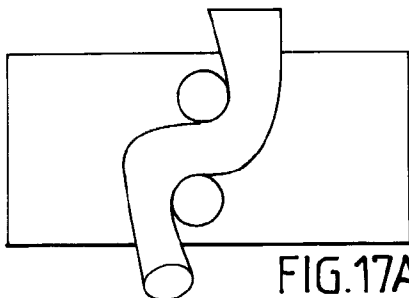


FIG.17A

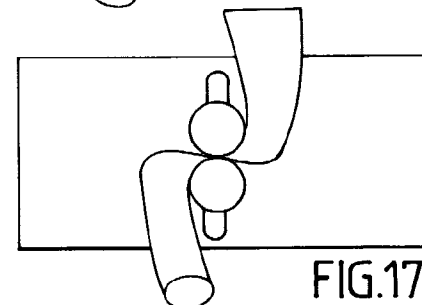


FIG.17B

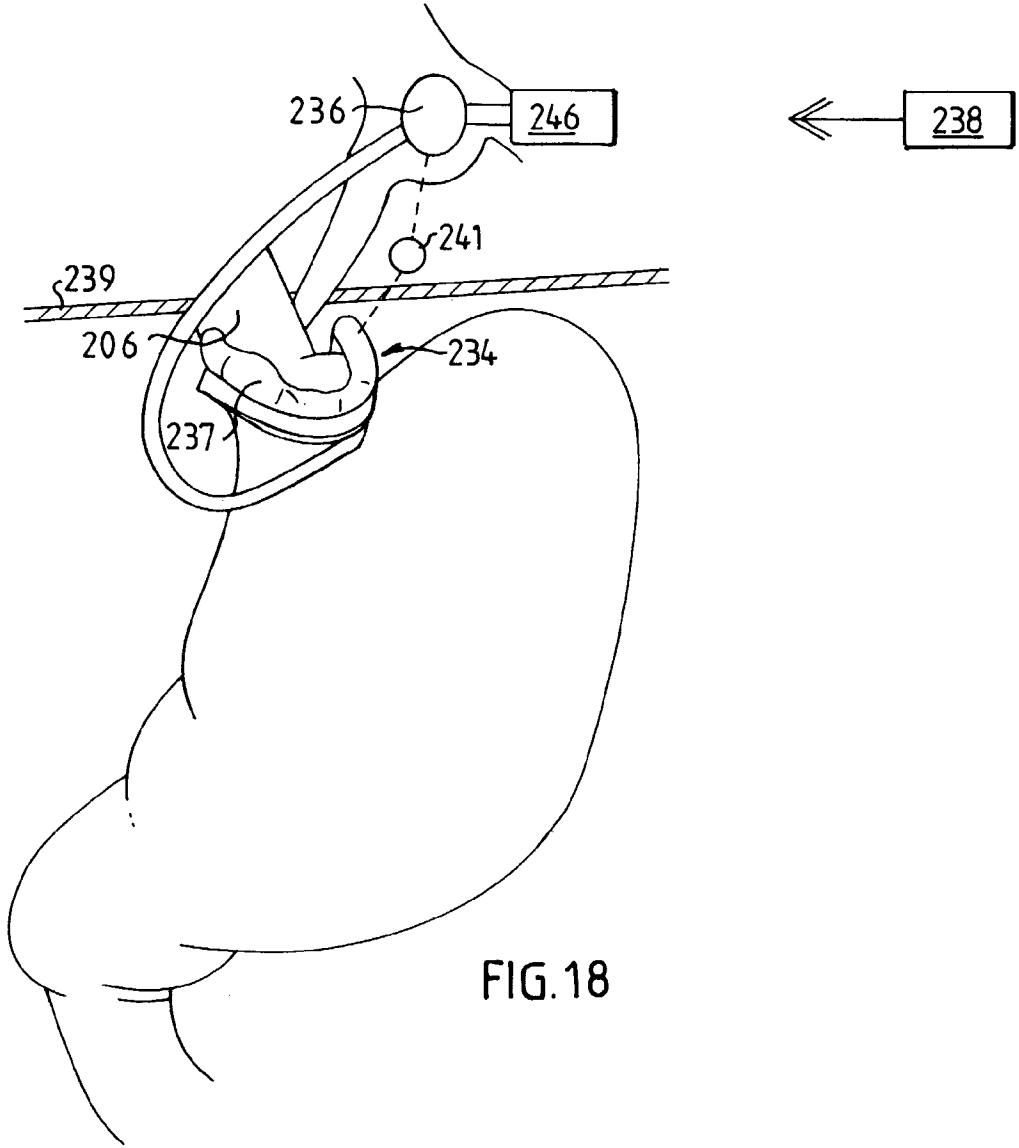


FIG.18

10 / 10

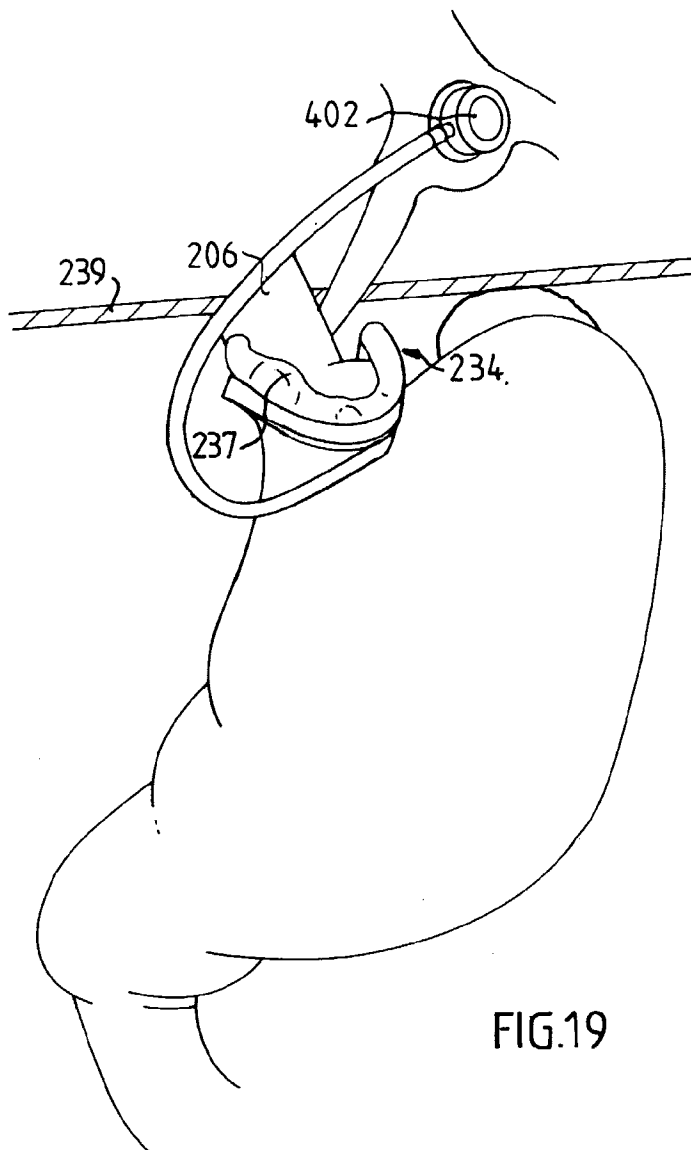


FIG.19

(19) World Intellectual Property Organization
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5 July 2001 (05.07.2001)

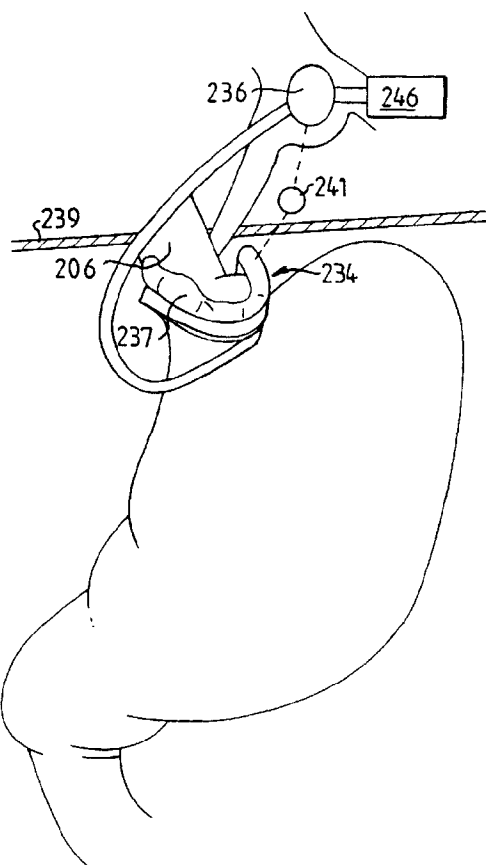
PCT

(10) International Publication Number
WO 01/47435 A3

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- (21) International Application Number: **PCT/SE01/00311**
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- (25) Filing Language: English
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09/504,047 14 February 2000 (14.02.2000) US
- (71) Applicant (for all designated States except US): **IT-MEDICAL AG** [CH/CH]; Zugerstrasse 74, CH-6341 Baar (CH).
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): **FORSELL, Peter** [SE/CH]; Kirchgasse 4, CH-6313 Menzingen (CH).
- (74) Agents: **HAGSTRÖM, Leif** et al.; Bergenstråhle & Lindvall AB, P.O. Box 17704, S-118 93 Stockholm (SE).
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- Published:
— with international search report

[Continued on next page]

(54) Title: HEARTBURN AND REFLUX DISEASE TREATMENT APPARATUS



(57) Abstract: A heartburn and reflux disease treatment apparatus comprises an adjustable restriction device (234) implanted in a patient engaging the stomach close to the cardia, or alternatively engaging the esophagus (206), to form a restricted food passageway in the stomach or esophagus. An adjustment device is implanted in the patient for adjusting the restriction device to restrict and enlarge the passageway, and a hydraulic operation means (236) is implanted in the patient for operating the adjustment device. By using a wireless remote control (238) the patient can control the hydraulic operation means, whereby the restriction device works like an artificial sphincter.

WO 01/47435 A3



— upon request of the applicant, before the expiration of the time limit referred to in Article 21(2)(a)

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(88) Date of publication of the international search report:

17 January 2002

INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 01/00311

A. CLASSIFICATION OF SUBJECT MATTER

IPC7: A61F 5/00 // A61B 17/12

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: A61F, A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5938669 A (KLAIBER ET AL), 17 August 1999 (17.08.99), figures 1-4, abstract	1-6,12-27, 30-33,40-42, 47,48,52,55, 62-174
A	--	7-11,28-29, 34-39,43-46, 49-51,53,54, 56-61
X	US 5771903 A (JAKOBSSON), 30 June 1998 (30.06.98), figure 1, abstract	1,47
A	US 4271827 A (ANGELCHIK), 9 June 1981 (09.06.81), abstract	1-174
	--	

☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"I" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

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"&" document member of the same patent family

Date of the actual completion of the international search

12 June 2001

Date of mailing of the international search report

14 -06- 2001

Name and mailing address of the ISA

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Telephone No. +46 8 782 25 00

INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 01/00311

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	WO 00/09049 A1 (FORSELL, PETER), 24 February 2000 (24.02.00), figures 1-8, abstract --	1-174
P,X	WO 00/15158 A1 (SOFRADIM PRODUCTION), 23 March 2000 (23.03.00), abstract --	1
E,X	WO 01/12078 A1 (BLOMBERG AXEL), 22 February 2001 (22.02.01), abstract -- -----	1

INTERNATIONAL SEARCH REPORTInternational application No.
PCT/SE01/00311**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

.../...

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☒ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:

4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORTInternational application No.
PCT/SE01/00311

According to rule 13.2 an international application shall relate to one invention only or a group of inventions linked by one or more of the same or corresponding "special technical features", i.e. features that define a contribution which each of the inventions, considered as a whole, makes over the prior art.

Such a link between all the subject of claims 1-174 would be the implantable adjustment means for post-operative adjusting the restriction means to enlarge and restrict the food passageway, when the restriction means is implanted in a patient. An invention comprising this feature is disclosed in the documents US 5771903 A or US 5938669 A. The documents reveal an implanted adjustment means for post-operative adjusting of a restriction means that is implanted in a patient.

Accordingly the following inventions were found:

1. Claims 1-6, 12-27, 30-32, 40-42, 45-51, 53, 55, 62-174 directed to a heartburn and reflux disease treatment device comprising an implantable adjustment means for post-operative adjusting the restriction means.
2. Claims 7-11, 28-29, 33-39, 43-44, 52 directed to a heartburn and reflux disease treatment device comprising a reservoir with a first and a second wall.
3. Claims 54 directed to a heartburn and reflux disease treatment device wherein the adjustment means is adapted to pull a first portion of a restriction member from a second of a restriction member opposite the first portion.
4. Claim 56-57 directed to a heartburn and reflux disease treatment device wherein the adjustment means is adapted to squeeze the esophagus or the stomach between two elements.
5. Claims 58-61 directed to a heartburn and reflux disease treatment device wherein the adjustment means is adapted to bend a portion of the esophagus or the stomach.

INTERNATIONAL SEARCH REPORT

Information on patent family members

28/05/01

International application No.

PCT/SE 01/00311

Patent document cited in search report			Publication date	Patent family member(s)		Publication date
US	5938669	A	17/08/99	EP	0876808 A	11/11/98
US	5771903	A	30/06/98	AT	192318 T	15/05/00
				DE	69516690 D,T	01/02/01
				EP	0769282 A,B	23/04/97
US	4271827	A	09/06/81	NONE		
WO	00/09049	A1	24/02/00	NONE		
WO	00/15158	A1	23/03/00	NONE		
WO	01/12078	A1	22/02/01	NONE		